

2016 WSFS BUSINESS MEETING AGENDA
MIDAMERICON II, THE 74TH WORLD SCIENCE FICTION CONVENTION
KANSAS CITY, MISSOURI
Thursday, August 18;
Friday, August 19;
Saturday, August 20; and
Sunday, August 21, 2016

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Thursday, August 18;
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All meetings will be held in Room 2104AB of the Kansas City Convention Center in Kansas City, MO, with Jared Dashoff presiding over all sessions of the Business Meeting. The Officers are:

Presiding Officer:	Jared Dashoff
Deputy Presiding Officer	Tim Illingworth
Parliamentarian	Donald E. Eastlake III
Secretary:	Linda Deneroff
Timekeeper:	Lisa Hertel
Videographer:	Lisa Hayes
Asst. Videographer:	Kevin Standlee
Sergeants-at-Arms	Warren Buff, Jesi Pershing, Michael “MrShirt” McConnell, Colette Fozard

The debates in these minutes will not be word for word accurate, but every attempt will be made to represent the sense of the arguments.

Voting is done in a variety of ways in the course of the Business Meeting. The Mark Protection Committee members are usually elected on paper ballots, using the preferential “instant runoff” ballot. Most voting in the course of the meeting is done by an uncounted show of hands or, less commonly, by acclamation. If a voice or show of hands vote appears close or if a counted vote is considered important, a counted “serpentine” vote is held.

The proceedings of these meetings will be recorded per Standing Rule 1.6. Any member may make their own recordings and distribute them at their discretion.

If the agenda order is rearranged, the minutes will reflect the order in which items took place, but the items will retain their original numbers from the agenda.

The business meeting staff consists of Jared Dashoff, Presiding Officer; Tim Illingworth, Deputy Presiding Officer; Donald E. Eastlake III, Parliamentarian; Linda Deneroff, Secretary; Lisa Hertel, Timekeeper; Lisa Hayes, Videographer; Kevin Standlee, Assistant Videographer; and, Warren Buff, Jesi Pershing, Michael “MrShirt” McConnell, Colette Fozard, Sergeants-at-Arms.

The Business Meeting may be conducted in an order different from that shown in the agenda. Minutes will follow any new order, but contain the numbering in the agenda.

A. BUSINESS PASSED ON

The following item was ratified at Chicago in 2012 and must be re-ratified by MidAmeriCon II in 2016 in order to remain part of the Worldcon Constitution.

A.1 Short Title: Best Fancast

3.3.14: Best Fancast. Any generally available non-professional audio or video periodical devoted to science fiction, fantasy, or related subjects that by the close of the previous calendar year has released four (4) or more episodes, at least one (1) of which appeared in the previous calendar year, and that does not qualify as a dramatic presentation.

Provided that unless this amendment is re-ratified by the 2016 Business Meeting, Section 3.3.14 shall be repealed, and

Provided that the question of re-ratification shall be automatically be placed on the agenda of the 2016 Business Meeting with any constitutional amendments awaiting ratification.

The following constitutional amendments were approved at Sasquan and passed on to MidAmeriCon II for ratification. If ratified, they will become part of the Constitution at the conclusion of MidAmeriCon II.

Note: The numbering of these items reflects items the numbering system used by Sasquan.

A.2 Short Title: The Five Percent Solution

Moved, to amend the WSFS Constitution to eliminate the requirement that finalists must appear on at least 5% of ballots in a category, by ~~striking out~~ words as follows:

~~3.8.5: No nominee shall appear on the final Award ballot if it received fewer nominations than five percent (5%) of the number of ballots listing one or more nominations in that category, except that the first three eligible nominees, including any ties, shall always be listed.~~

3.11.4: The complete numerical vote totals, including all preliminary tallies for first, second, ... places, shall be made public by the Worldcon Committee within ninety (90) days after the Worldcon. During the same period the nomination voting totals shall also be published, including in each category the vote counts for at least the fifteen highest vote-getters ~~and any other candidate receiving a number of votes equal to at least five~~

~~percent (5%) of the nomination ballots cast in that category,~~ but not including any candidate receiving fewer than five votes.

A.3 Short Title: Multiple Nominations

Moved, to amend the WSFS Constitution to eliminate the possibility of a work simultaneously appearing on the final ballot in multiple categories by adding words as follows:

1: *Insert the following section after existing Section 3.2.8:*

3.2.X: No work shall appear in more than one category on the final Award ballot.

2: *Insert the following section after existing Section 3.8.6:*

3.8.Y: If a work is eligible in more than one category, and if the work receives sufficient nominations to appear in more than one category, the Worldcon Committee shall determine in which category the work shall appear, based on the category in which it receives the most nominations.

A.4 Short Title: Nominee Diversity

Moved, to amend the WSFS Constitution for the purpose of encouraging diversity of Hugo Award nominations by excluding more than two works within a category that are part of the same dramatic series or having a common co-author by inserting a new subsection after existing 3.8.4, adding words as follows:

3.8.X: If there are more than two works in the same category that are all episodes of the same dramatic presentation series or that are written works that have an *author for single-author works, or two or more authors for coauthored works in common*, only the two works in each category that have the most nominations shall be eligible to appear on the final ballot. For the purposes of this exclusion, works withdrawn by their author or authors under Section 3.2.5 shall be ignored.

A.5 Short Title: Electronic Signature

Moved, to amend Section 4.4 of the WSFS Constitution to authorize Worldcons to accept ballots with any form of signature or authentication legal in the jurisdiction of the administering Worldcon, as follows:

Section 4.4: Ballots.

4.4.1. Site-selection ballots shall include name, signature, address, and membership-number spaces to be filled in by the voter. Each site-selection ballot shall list the options “None of the Above” and “No Preference” and provide for write-in votes, after the bidders and with

equal prominence. The supporting membership rate shall be listed on all site-selection ballots.

4.4.X Worldcons may, with the agreement of all active bids, choose to offer any electronic signature means legal in the seated Worldcon's home jurisdiction.

4.4.Y Worldcons must offer the option to receive a paper site selection ballot regardless of that member's selection for other publications. Should they choose to include other material (such as an addressed envelope and stamp or International Reply Coupon), they may charge a reasonable fee for such materials.

A.7 Short Title: E Pluribus Hugo (Out of the Many, a Hugo)

Moved, to amend Section 3.8 (Tallying of Nominations), Section 3.9 (Notification and Acceptance), and Section 3.11 (Tallying of Votes) as follows:

Section 3.8: Tallying of Nominations.

3.8.1: Except as provided below, the final Award ballots shall list in each category the five eligible nominees ~~receiving the most nominations. If there is a tie including fifth place, all the tied eligible nominees shall be listed.~~ determined by the process described in section 3.A.

Insert new section 3.A after Section 3.8 as follows:

Section 3.A: Finalist Selection Process

3.A.1: For each category, the finalist selection process shall be conducted as elimination rounds consisting of three phases:

(1) Calculation Phase: First, the total number of nominations (the number of ballots on which each nominee appears) from all eligible ballots shall be tallied for each remaining nominee. Next, a single "point" shall be assigned to each nomination ballot. That point shall be divided equally among all remaining nominees on that ballot. Finally, all points from all nomination ballots shall be totaled for each nominee in that category. These two numbers, point total and number of nominations, shall be used in the Selection and Elimination Phases.

(2) Selection Phase: The two nominees with the lowest point totals shall be selected for comparison in the Elimination Phase. (See 3.A.3 for ties.)

(3) Elimination Phase: Nominees chosen in the Selection Phase shall be compared, and the nominee with the fewest number of nominations shall be eliminated and removed from all ballots for the Calculation Phase of all subsequent rounds. (See 3.A.3 for ties.)

3.A.2: The phases described in 3.A.1 are repeated in order for each category until the number of finalists specified in 3.8.1 remain. If

elimination would reduce the number of finalists to fewer than the number specified in section 3.8.1, then instead no nominees will be eliminated during that round, and all remaining nominees shall appear on the final ballot, extending it if necessary.

3.A.3: Ties shall be handled as described below:

(1) During the Selection Phase, if two or more nominees are tied for the lowest point total, all such nominees shall be selected for the Elimination Phase.

(2) During the Selection Phase, if one nominee has the lowest point total and two or more nominees are tied for the second-lowest point total, then all such nominees shall be selected for the Elimination Phase.

(3) During the Elimination Phase, if two or more nominees are tied for the fewest number of nominations, the nominee with the lowest point total at that round shall be eliminated.

(4) During the Elimination Phase, if two or more nominees are tied for both fewest number of nominations and lowest point total, then all such nominees tied at that round shall be eliminated.

3.A.4: After the initial Award ballot is generated, if any finalist(s) are removed for any reason, the finalist selection process shall be rerun as though the removed finalist(s) had never been nominee(s). None of the remaining original finalists who have been notified shall be removed as a result of this rerun. The new finalist(s) shall be merged with the original finalists, extending the final ballot if necessary.

Section 3.9: Notification and Acceptance.

3.9.1 Worldcon Committees shall use reasonable efforts to notify the ~~nominees-finalists~~, or in the case of deceased or incapacitated persons, their heirs, assigns, or legal guardians, in each category prior to the release of such information. Each ~~nominee-person notified~~ shall be asked at that time to either accept or decline the nomination. If the ~~nominee-person notified~~ declines the nomination, that ~~nominee-finalist(s)~~ shall not appear on the final ballot. The procedure for replacement of such finalist(s) is described in subsection 3.A.4.

Section 3.11: Tallying of Votes.

3.11.4: The complete numerical vote totals, including all preliminary tallies for first, second, . . . places, shall be made public by the Worldcon Committee within ninety (90) days after the Worldcon. ~~During the same period the nomination voting totals shall also be published, including in each category the vote counts for at least the fifteen highest vote-getters and any other candidate receiving a number of votes equal to at least five percent (5%) of the nomination ballots cast in that category, but not~~

~~including any candidate receiving fewer than five votes.~~ During the same period, the results of the last ten rounds of the finalist selection process for each category (or all the rounds if there are fewer than ten) shall also be published.

Moved, that unless this amendment is re-ratified by the 2022 Business Meeting, Section 3.A shall be repealed, and

Provided that the question of re-ratification shall be automatically be placed on the agenda of the 2022 Business Meeting with any constitutional amendments awaiting ratification.

A.6 Short Title: 4 and 6

Moved, to amend the WSFS Constitution to reduce the number of nominations each member can make in each category, to increase the number of finalists appearing on the final ballot and to correct related references to the number of nominations per member by ~~striking out~~ and adding words as follows:

3.7.1: The Worldcon Committee shall conduct a poll to select the nominees for the final Award voting. Each member of the administering Worldcon, the immediately preceding Worldcon, or the immediately following Worldcon as of January 31 of the current calendar year shall be allowed to make up to ~~five (5)~~ four (4) equally weighted nominations in every category.

3.8.1: Except as provided below, the final Award ballots shall list in each category the ~~five six~~ eligible nominees receiving the most nominations. If there is a tie including ~~fifth sixth~~ place, all the tied eligible nominees shall be listed.

3.8.6: The Committee shall move a nomination from another category to the work's default category only if the member has made fewer than ~~five (5)~~ four (4) nominations in the default category.

3.8.7: If a work receives a nomination in its default category, and if the Committee relocates the work under its authority under subsection 3.2.9 or 3.2.10, the Committee shall count the nomination even if the member already has made ~~five (5)~~ four (4) nominations in the more-appropriate category.

B. NEW BUSINESS

B.1 Resolutions

The FOLLE Committee and YA Hugo Study Committee have submitted resolutions as part of their reports requesting the meeting to reform the respective committee with the ability to add new members. These resolutions will be taken up during committee reports

B.2 Constitutional Amendments

Items under this heading have not yet received first passage and will become part of the Constitution only if passed at MidAmeriCon II and ratified at Worldcon 75. The Preliminary Business Meeting may amend items under this heading, set debate time limits, refer them to committee, and take other action as permitted under the Standing Rules.

B.2.1 Short Title: Best Series

Moved, to amend the WSFS Constitution to change the written fiction Hugo Award categories by creating a Best Series award and correcting related references to the existing Hugo Award categories by [adding](#) or ~~deleting~~ words as follows:

1. *Insert words in existing Section 3.2.4 as follows:*

3.2.4 Works appearing in a series are eligible as individual works, but the series as a whole is not eligible, [except under Section 3.3.X](#). However, a work appearing in a number of parts shall be eligible for the year of the final part.

2. *Modify existing Section 3.2.5 as follows:*

3.2.5 In the written fiction story categories (3.3.1-3.3.4~~X~~ and 3.3.6), an author may withdraw a version of a work from consideration if the author feels that the version is not representative of what that author wrote.

3. *Insert the following section after existing Section 3.3.4:*

[3.3.X Best Series. A multi-volume science fiction or fantasy story, unified by elements such as plot, characters, setting, and presentation, appearing in at least three \(3\) volumes consisting of a total of at least 240,000 words by the close of the previous calendar year, at least one of which was published in the previous calendar year. If such a work has previously been a finalist, it shall be eligible only upon the publication, since it qualified for its last appearance on the final ballot and by the end of the previous calendar year, of at least two \(2\) additional volumes consisting of a total of at least 240,000 words, and further provided it has not won under 3.3.X before.](#)

4. *Insert the following before existing Section 3.8.3:*

[3.8.X If any series and a subset series thereof both receive sufficient nominations to appear on the final ballot, only the version which received more nominations shall appear.](#)

Proposed by: Series Hugo Committee

Commentary: See the [Report of the Hugo Committee](#) in the Appendix.

B.2.2 Short Title: December Is Good Enough

Moved, to amend the WSFS Constitution by ~~striking out~~ and inserting text as follows:

3.7.1: The Worldcon Committee shall conduct a poll to select the finalists for the Award voting. Each member of the administering Worldcon, the immediately preceding Worldcon, or the immediately following Worldcon as of ~~January 31~~ the end of the ~~current~~ previous calendar year shall be allowed to make up to five (5) equally weighted nominations in every category.

Proposed by: Colette Fozard, Warren Buff, Nicholas Whyte

Commentary: The administrative strain on Hugo Administrators has increased substantially in recent years, due to:

(a) extension of nominating rights to include members of the year N+1 Worldcon – requiring the Administrator to merge three sets of membership data, two of which are continually changing as new members join the respective conventions.

(b) a substantial rise in the number of Supporting members due to increased interest in the Awards.

Members also expect to receive their nominating rights (and PINs) rapidly after the ballot opens – typically in early January – while the Administrator has to continue merging membership data from that point until the eligibility deadline, with no control of the timeliness of the data feed from Worldcon N+1.

Changing the eligibility deadline will reduce this strain and ease the administration process. In addition, in the event that 3 Stage Voting passes, an earlier eligibility cut-off will ensure that the overall schedule remains manageable (e.g., for a nomination phase of 8 weeks starting on January 1, then a December 31 cut-off is significantly more robust and easier to handle than January 31).

B.2.3 Short Title: Two Years Are Enough

Moved, to amend the WSFS Constitution by ~~striking out~~ and inserting text as follows:

3.7.1: The Worldcon Committee shall conduct a poll to select the finalists for the Award voting. Each member of the administering Worldcon, or the immediately preceding Worldcon, ~~or the immediately following Worldcon~~ as of January 31 of the current calendar year shall be allowed to make up to five (5) equally weighted nominations in every category.

Provided that members of the 2019 Worldcon will retain their nominating rights in the 2018 Hugo Awards.

Proposed by: Warren Buff, Colin Harris

Commentary: The extension of the nominating franchise from two Worldcons (N and N-1) to three by including year N+1 was implemented as part of the drive to expand the voting population at a time when member participation in the Hugos was substantially lower than it is now. This change has created some undesirable side effects, and we believe the most appropriate response is to return to the previous arrangement.

The inclusion of year N+1 creates a very high burden on the Administrators since (a) they have to merge three sets of membership data rather than two, (b) two of these are in motion with new members joining up to the eligibility cut-off date and (c) the most recently seated Worldcon is often still establishing robust data and membership processes at the point where the current Worldcon needs a reliable flow of quality data.

The removal of year N+1 will remove this burden while only marginally reducing the size of the voting pool. In a typical recent year, Worldcon N-1 might have 6,000 members at the eligibility cut-off, Worldcon N might have 3,500, and Worldcon N+1 might have 2,000 – but in the early months after being seated, Worldcon N+1’s membership will be dominated by people who participated in Site Selection and hence are also members of Worldcon N-1.

(In the first 6 months after being seated, Renovation added 150 members, Chicon 7 added 450, and Loncon 3 added 400 – and of course a good proportion of these would also have been members of one of the two prior conventions). We estimate that removing year N+1 would typically reduce the voting pool by perhaps no more than 200-300 people, i.e. well under 5%.

This change would also mean that individuals who only wish to join to participate in the nomination process would have to purchase memberships every two years rather than every three.

B.2.3.1 This Year’s Awards, This Year’s Nominators (*amendment by substitution*)

Moved, to amend Section 3.7.1 by deleting, “, the immediately preceding Worldcon, or the immediately following Worldcon”. The section would now read:

3.7.1: The Worldcon Committee shall conduct a poll to select the finalists for the Award voting. Each member of the administering Worldcon, ~~the immediately preceding Worldcon, or the immediately following Worldcon~~ as of January 31 of the current calendar year shall be allowed to make up to five (5) equally weighted nominations in every category.

Proposed by: Kate Secor, Randall Shepherd, Ian Stockdale, Ben Yalow, Ann Marie Rudolph, Kate Paulk, Rick Kovalcik, Pablo Vazquez III

Commentary: The Hugos are awarded by the World Science Fiction Society, and we feel that it is reasonable that only current WSFS members be able to participate in the selection process, so that the entire process reflects the beliefs of the members of the Society for the given year.

If people join who otherwise might not have because of memberships in other years, it will show the members' dedication to the continuation of the Hugos and of Worldcon, and may slightly increase the administering Worldcon's funding for their efforts in running the Hugos. Additionally, it will substantially reduce the burden involved in data transfer for the Worldcon Registration and Hugo subcommittees, as well as issues of Data Privacy rules for transferring data across international boundaries.

While we acknowledge the efforts that recent Worldcon committees have made to expand the voting pool, we feel that those efforts will not be substantially affected by slightly raising the bar to entry to participate.

B.2.4 Short Title: Three Stage Voting (3SV), or “The Only Winning Move Is Not to Play”

Moved, to amend Section 3.7 (Nominations) and Section 3.8 (Tallying of Nominations) for the purpose of creating an intermediate stage in the Hugo Award selection process by ~~striking out~~ and inserting text as follows:

Section 3.7: Nominations.

3.7.1: The Worldcon Committee shall conduct a two-stage poll to select the finalists for the Award voting. ~~Each~~In the Nominating stage, each member of the administering Worldcon, the immediately preceding Worldcon, or the immediately following Worldcon as of January 31 of the current calendar year shall be allowed to make up to five (5) equally weighted nominations in every category.

3.7.2: The Committee shall include with each nomination ballot a copy of Article 3 of the WSFS Constitution and any applicable extensions of eligibility under Sections 3.4.

3.7.3: Nominations shall be solicited only for the Hugo Awards and the John W. Campbell Award for Best New Writer.

~~3.7.4: 3.8.2:~~ The Worldcon Committee shall determine the eligibility of nominees and assignment to the proper category of works nominated in more than one category.

~~3.7.5: 3.8.3:~~ Any nominations for “No Award” shall be disregarded.

~~3.7.6: 3.8.4:~~ If a nominee appears on a nomination ballot more than once in any one category, only one nomination shall be counted in that category.

~~3.7.7: 3.8.6:~~ The Committee shall move a nomination from another category to the work's default category only if the member has made fewer than five (5) nominations in the default category.

~~3.7.8: 3.8.7:~~ If a work receives a nomination in its default category, and if the Committee relocates the work under its authority under subsection 3.2.7 or 3.2.8, the Committee shall count the nomination even if the

member already has made five (5) nominations in the more -appropriate category

Section 3.8: Tallying of Nominations. Qualification Stage.

~~3.8.1: Except as provided below, the final Award ballots shall list in each category the five eligible nominees receiving the most nominations. If there is a tie including fifth place, all the tied eligible nominees shall be listed.~~

[3.8.2, 3.8.3, 3.8.4, 3.8.6, and 3.8.7 moved to Section 3.7.]

~~3.8.5: No nominee shall appear on the final Award ballot if it received fewer nominations than five percent (5%) of the number of ballots listing one or more nominations in that category, except that the first three eligible nominees, including any ties, shall always be listed.~~

3.8.1: The Qualification stage of the process shall be based on a long list of the top fifteen Qualifiers (including ties that include fifteenth place) from the nomination process in each category. Only WSFS members may vote in this stage.

3.8.2: The purpose of the Qualification Stage is to allow the membership to confirm their willingness to see each Qualifier taken forward as a potential Hugo Award Finalist.

3.8.3: In the Qualification Stage ballot, each voter may choose between the options “Accept”, “Reject”, and “Abstain” for each Qualifier in each category.

3.8.4: A Qualifier shall be eliminated from consideration for the Final Ballot if it meets the following two criteria:

(1) the number of “Reject” votes is at least 60% of the combined total of “Accept” and “Reject” votes;

(2) the number of “Reject” votes is at least the higher of 600 or 20% of the number of eligible voters.

3.8.5: The final Award ballots shall list in each category the five eligible Qualifiers who received the most nominations in the first stage Nominating Ballot and were not eliminated from consideration in the Qualification Stage. If there is a tie including fifth place, all the tied eligible nominees shall be listed.

Provided that unless this amendment is re-ratified by every Business Meeting between the initial ratification of this amendment and the 2022 Business Meeting, Sections 3.7 and 3.8 shall revert to their wording prior to the initial ratification of this amendment, and

Provided that the question of re-ratification shall automatically be placed on the agenda of each Business Meeting between now and the 2022

Business Meeting with any constitutional amendments awaiting ratification, unless any of those meetings should fail to re-ratify the amendment, in which case no further re-ratification votes shall be held.

Proposed by: Colin Harris, Kevin Standlee, Nicholas Whyte, Colette Fozard, Warren Buff

Commentary: The essential argument for this change is that it enables us to directly address slates and bad actors in a direct way – and in a way that statistical solutions such as EPH and 4+6 cannot. We have put it forward in recognition of the fact that the reputation and integrity of the Hugo Awards are under sustained attack, and in the belief that a response is needed to this reality. We would of course have preferred it if such a response were not necessary. The rationale for the proposal is as follows.

- Statistical solutions may reduce the number of slate nominees, but not sufficiently to act as a deterrent to slate campaigners or bad actors. Ensuring 1-2 non-slate candidates per category is ultimately a pyrrhic victory in terms of the reputation of the Awards; securing 2-3 finalists per category offers a strong incentive for slate campaigners to continue their efforts indefinitely, and continues to exclude nominees that would otherwise have made the Final Ballot from being recognised.
- We have seen that the membership is willing to use No Award to reject finalists that they consider are not appropriate winners of the Hugo Award. However this test is applied after the damage has already been done. The proposal essentially moves the No Award test to an earlier stage of the process. The Qualification Ballot is not a ranking ballot, but specifically enables members to reject candidates that they believe have benefited from inappropriate promotion.
- It is important that the Qualification Ballot is presented clearly as one in which “Reject” should be used only for candidates that members feel are not suitable for inclusion on the Final Ballot – typically due to abuse of process – and not as an opportunity to express preference among the acceptable candidates. The wording of the Qualification Ballot rubric will therefore need to be clearly presented.
- It is similarly important to note that the Qualification Ballot is not used to re-rank the candidates for inclusion on the Final Ballot. Once any rejected candidates have been eliminated, it is the ranking from the original Nomination Ballot that determines the ranking and hence which finalists should be taken forward.

Why now? We have been asked why we have brought this proposal forward now, when EPH has yet to be ratified and tested. Our concern is that the Awards are being further tainted every time manifestly unsuitable candidates reach the final ballot, and that we cannot therefore afford to wait until EPH has been tried before having a “Plan B” under way. (Assuming EPH is ratified in 2016 and is used in 2017, and does not

resolve concerns satisfactorily, a new approach passed in 2017 and ratified in 2018 would only be in effect in 2019.)

Our suggestion is that 3SV should be passed now to ensure that there is a strong option in hand for protecting the future of the Awards. If this option is found to be unnecessary once the 2017 nomination process has been run, then 3SV need not be ratified.

Why this solution? We have put forward this particular option for an additional voting stage after careful consideration of a number of alternatives. In particular, we recognise the intrinsic attraction of solutions using a preference ballot against a long list of candidates – either as a “semi-final” to select the finalists for the Final Ballot, or as a direct change to the existing two-stage process. We appreciate the attraction of such solutions which have the sense of voting “for” candidates rather than “against” them.

We feel strongly that these options are however unworkable for both Administrators and members. A preference ballot requires voters to consider the merits of each candidate which requires both time and access to the material – and the latter will inevitably create demand for a voter packet. For Administrators, contacting say 15 candidates per category, and preparing a corresponding voter packet, is a Herculean task – and the members would face comparable challenges in absorbing all of this material.

In a three-stage process, with a semi-final second stage, this extra work also has to be compressed into a period which fits the overall schedule. In a two-stage process (i.e. Nominations followed by a Final Ballot as now, but with a longer list of Finalists), more time would be available, but the logistics for the host convention are impracticable in terms of larger numbers of Finalists to be accommodated at the Hugo Ceremony and Reception. Furthermore a two-stage process would still allow bad actors to present themselves as “Hugo Finalists”.

The proposed 3SV solution enables a “committee of the whole” of WSFS members to assume the mantle of assessing where abuse has taken place, and (as noted above) sending a clear message that this behaviour is unacceptable. The intent is for members to approve nominees they are happy to see on the Final Ballot in terms of process, and to reject those they feel have been engineered. This intent is key and will need to be articulated clearly in the ballot guidance. Members can also use their judgement to respond to “human shield” tactics where slates are put forward which mix credible candidates with egregious ones; this is much harder (if not impossible) to achieve with purely statistical solutions such as EPH.

3SV and EPH. If 3SV is felt to be necessary – to send a message that WSFS decisively rejects attempts to game the awards and will single out and reject candidates that gain from such tactics – then there is a separate decision on whether EPH should continue to be retained as well. While EPH is a sophisticated algorithm, it would arguably be redundant in rejecting slates if 3SV is in place (and indeed, 3SV makes it easier to separate tactical slates from genuinely correlated votes such as fans of a TV series

nominating multiple episodes of that show). Moreover, it imposes a significant administrative burden and is a less transparent algorithm for members to understand.

Our view is that the other measures which are up for ratification i.e. 4+6 and Nominee Diversity – would combine with 3SV to provide a robust way forward for the Awards, and that EPH could potentially be dropped if it does not materially resolve the slate issue. As such we have introduced an amendment for additional annual re-ratification of EPH in 2017, such that the Business Meeting could choose to drop EPH if it proceeds with 3SV. The BM may of course choose to continue with both solutions – they are complementary – but we feel that this option should be available to the meeting in Helsinki.

B.2.5 Short Title: Additional Finalists

Moved, to amend the WSFS Constitution for the purpose of allowing the Committee to add up to two additional finalists to each Hugo Award category, by adding a new section after existing Section 3.8 as follows:

Section 3.X: Additional Finalists. The Worldcon Committee may add not more than two additional finalists in each category, provided that such additional finalists would qualify to be in the list of nominees described in Section 3.11.4.

Proposed by: Lisa Hayes, Lisa Deutsch Harrigan, David Wallace

Commentary: The Worldcon Committee already has the authority to add an additional category at its discretion. This proposal extends that authority to allow the Committee to add additional *finalists* as well.

The 3.11.4 list is the “Top 15” list of those nominees placing among the top 15 in each category, and also includes any nominees that received at least 5% of the nominations in a category, but not fewer than five nominations. This proposal would give the Worldcon Committee (in practice, the Hugo Awards Administration Subcommittee) the authority to add one or two additional finalists in any category from among the “Top 15” list. The Committee would not be *required* to add finalists in any category, but could at its sole discretion add zero, one, or two finalists in any category.

The makers of this motion presume that the Committee would include among its Hugo Award Administration Subcommittee persons they think qualified to judge the merits of works and to decide whether they should exercise their authority to add supplemental finalists to the final ballot. Such additional finalists would not be marked as “supplemental” on the final ballot, and it would only be known which finalists were added after the Hugo Awards were presented when the Section 3.11.4 list was published. In fact, the Committee would not need to say anything about why there were more than five finalists other than to point out that there are two possible reasons for it: ties involving the final position among the top five and the Committee's discretionary picks.

The purpose of this amendment is to allow the Worldcon Committee to make a judgment call to allow additional finalists onto the final ballot if the Committee thinks that it would be in the best interests of the membership to do so. In past years (not just recently), it has been clear to many people that works placing in the top five probably did not reflect the true intent of the membership as a whole. There have been calls for Administrators to throw out individual ballots or disqualify finalists. Administrators have historically not *removed* works from the ballot except for technical reasons such as being published in a prior year or being the wrong length, or occasionally for not being sufficiently related to the field of science fiction, fantasy, or fandom. However, there is a rare, but extant precedent for a Committee to *add* a work when they think that the five finalists do not, for whatever reason, reflect the actual intent of the electorate. This proposal would make this authority explicit.

The makers of this motion do not wish to make the Hugo Award a juried award. They do, however, want to give the Committee additional flexibility in administering the Award by allowing them to add finalists to the final ballot, as long as those nominees are among the top fifteen nominated by the membership.

B.2.6 Short Title: EPH+

Moved, to amend Section 3.A.1 (1) of the WSFS Constitution by ~~deleting~~ and adding words as follows:

(1) Calculation Phase: First, the total number of nominations (the number of ballots on which each nominee appears) from all eligible ballots shall be tallied for each remaining nominee. Next, ~~a single “point” shall be assigned to each nomination ballot. That point shall be divided equally among all remaining nominees on that ballot.~~ *each nomination ballot shall give a point or fraction thereof to each remaining nominee on that ballot, according to the number of such remaining nominees, using the following pattern (known in voting theory as “Sainte-Laguë divisors”): 1 point for 1 remaining nominee, 1/3 of a point each for 2 remaining nominees, 1/5 of a point each for 3 remaining nominees, 1/7 of a point each for 4 remaining nominees, and 1/9 of a point each for 5 remaining nominees (extending this pattern as needed if a ballot legally has more remaining nominees).* Finally, all points from all nomination ballots shall be totaled for each nominee in that category. These two numbers, point total and number of nominations, shall be used in the Selection and Elimination Phases.

Proposed by: Jameson Quinn, Claudia Beach, Bonnie Warford, Catherine Faber, Andrew Hickey, Rogers Cadenhead, David Goldfarb, Lee Egger, Tasha Turner Lennhoff, Steven Halter, David Wallace, Oskari Rantala.

FAQ

Q. Can you explain the motivation behind EPH+ in plain English?

A. Sure, but you have to start by understanding EPH without the plus.

Q. OK. Can you explain the motivation behind EPH in simple English?

A. EPH, without the plus, is based a couple simple motivating ideas.

First off, insofar as possible, all voters should have the same power to affect the final result. You shouldn't have 5 times the voting power just because you happened to pick 5 things that happen to be popular with others. This voting power is the "points" in EPH. At each step in the process, the "point" from each ballot's is divided between the remaining works it supports.

Second off, you want a voting system which encourages people to vote for everything they consider worthy, and not just to "bullet vote" for their favorite work. For instance, a nontrivial number of Worldcon members are authors or close friends of authors. Such people are often dedicated, knowledgeable fans, with excellent taste. We don't want to give an advantage to those authors who only vote for themselves, as opposed to voting for themselves and anything else they find worthy. In order to minimize the strategic incentive for "bullet voting", during the elimination phase of EPH, all approvals count at full strength, as if the voter had supported only that one work.

Q. Now can you explain the motivation behind EPH+ in plain English?

A. The two motivating principles of EPH, as stated above, are in tension with each other. In fact, there is a theorem which states that any proportional system using approval ballots has incentives for bullet voting in at least some cases (C. Duddy, "Electing a Representative Committee by Approval Ballot: An Impossibility Result," Economics Letters, v. 124, 2014, pp. 14–16. <http://www.mimuw.edu.pl/~ps219737/maxCover-aaai2015.pdf>). EPH is an attempt to find a sweet spot of compromise between these two points.

But in fact, EPH still errs too much in favor of slate voters. In EPH, Slate voters get two advantages over organic voters. First, because there are so many different eligible works, some organic voters will inevitably fail to choose any works that have a chance of winning. Such "long tail" ballots are essentially wasted; there are no corresponding wasted slate ballots. Second, the elimination phase, in order to avoid a bullet voting incentive, gives the full benefit of the doubt to slate voters by counting their approval fully even when they have several remaining uneliminated works approved.

To counterbalance these two advantages, we should give an advantage to organic voters in the points-calculation phase. And that's just what EPH+ does; a ballot with only one of its approved works remaining gets a full point; while a ballot with many can have as few as 5/9 of a point in total (just over half).

Q. Where do those strange "Sainte-Laguë" numbers come from?

A. Well, obviously you can look at Wikipedia for the history of the Sainte-Laguë and D'Hondt divisors. But here's an intuitive explanation for why they make sense.

Imagine the ideal situation; no "long tail" voters, and a perfectly proportional result. If you're choosing 5 finalists, then this "ideal world" means you should be able to divide

up the voters into 5 equal groups of 20%, where each group unanimously supports one of the finalists. In the case where 40% of the voters were slate voters, there would be 2 slate finalists, and you'd divide the slate voters arbitrarily into 2 groups, one for each of them.

In the real world, of course, things are never quite so neat and even, with votes that can be divided into exactly 20% for each finalist. Some will have a bit more, some a bit less. How much less is the least we should tolerate? Well, it seems reasonable that the groups should at least "round off to" 20%. So a group that was at least half as big as the 20% ideal (10%) should tend to get a finalist; one that was at least 1.5 times as big (30%) should get two finalists; one that is at least 2.5 times as big (50%) should get three finalists; etc.

Roughly speaking, that's the motivation for the Sainte-Laguë divisors. If you want "half-size" groups to round up, then you need to use the ratios 1, 3, 5 for points, not 1, 2, 3, just as you saw in the percentages above.

Q. Couldn't you use numbers that fell off even faster, in order to give an even bigger disadvantage to slate voters?

A. Kinda, but not really.

First off, it's important to note that anything from "D'Hondt" divisors as in EPH to "Sainte-Laguë" divisors as in EPH+ yields a system that is in some sense proportional, while anything outside that range is no longer proportional in any sense. And in voting theory, when you push system design too hard to get one result there is often a possibility for strategic backlash that makes such system design ineffective. In this case, that means that if you try too hard to punish slate voters, the slate will just organize into 5 blocs of bullet votes. Sure, they could do that under EPH+ too, but since it's still a kind of proportional system, there's more of a balance between the risks and rewards for that strategy. If a voting bloc knows exactly how many supporters it has and how many nominations the strongest organic works will get, it might use strategic bullet voting to be able to control as many finalist slots in EPH+ as it would have in EPH; but the risk is that if they guess wrong, they might end up with no finalists at all. In practice, they'll probably stick to the simpler strategy of slate voting.

Q. Is EPH+ worth the extra complexity it adds? Why?

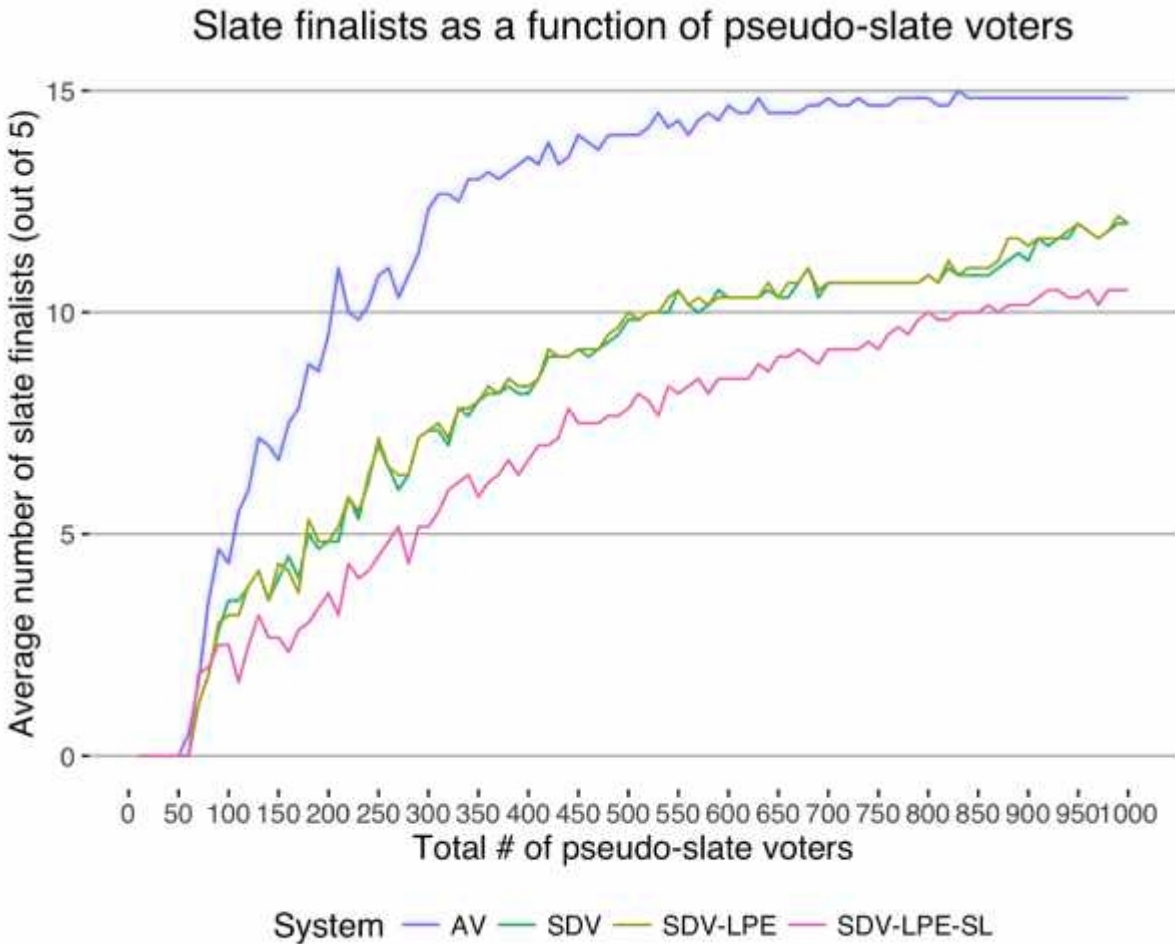
A. I think it clearly is; but then again, I (Jameson) may not be the best person to answer this question. The advantages of EPH+ are clear; it helps ensure more of the finalists are determined by organic voters. As for the disadvantages and/or complexity: since I live and breathe voting theory, it actually doesn't seem any more complex than EPH to me. Though I realize that is probably not true for other people, it's hard for me to judge how much of a problem that is.

Q. This is complicated. Where can I read more about why it was proposed?

A. Start with [Bruce Schneier's](#) post. If you're up for some academic jargon, you can supplement that with [our paper](#). Finally, read [this post](#) in which I try to explain the reasons for EPH+ in plain language.

Q. Is EPH or EPH+ still needed if we have 3SV, or if we have 3SV along with some of the related options?

A. I believe that at least EPH is still necessary to prevent a slate from taking over the longlist. Here is the number of longlist slots a slate could get, averaged across 6 categories, assuming very weak ability to coordinate. Under ideal coordination ability, things would be much worse; they could get similar results with just about half the numbers shown on the x axis.



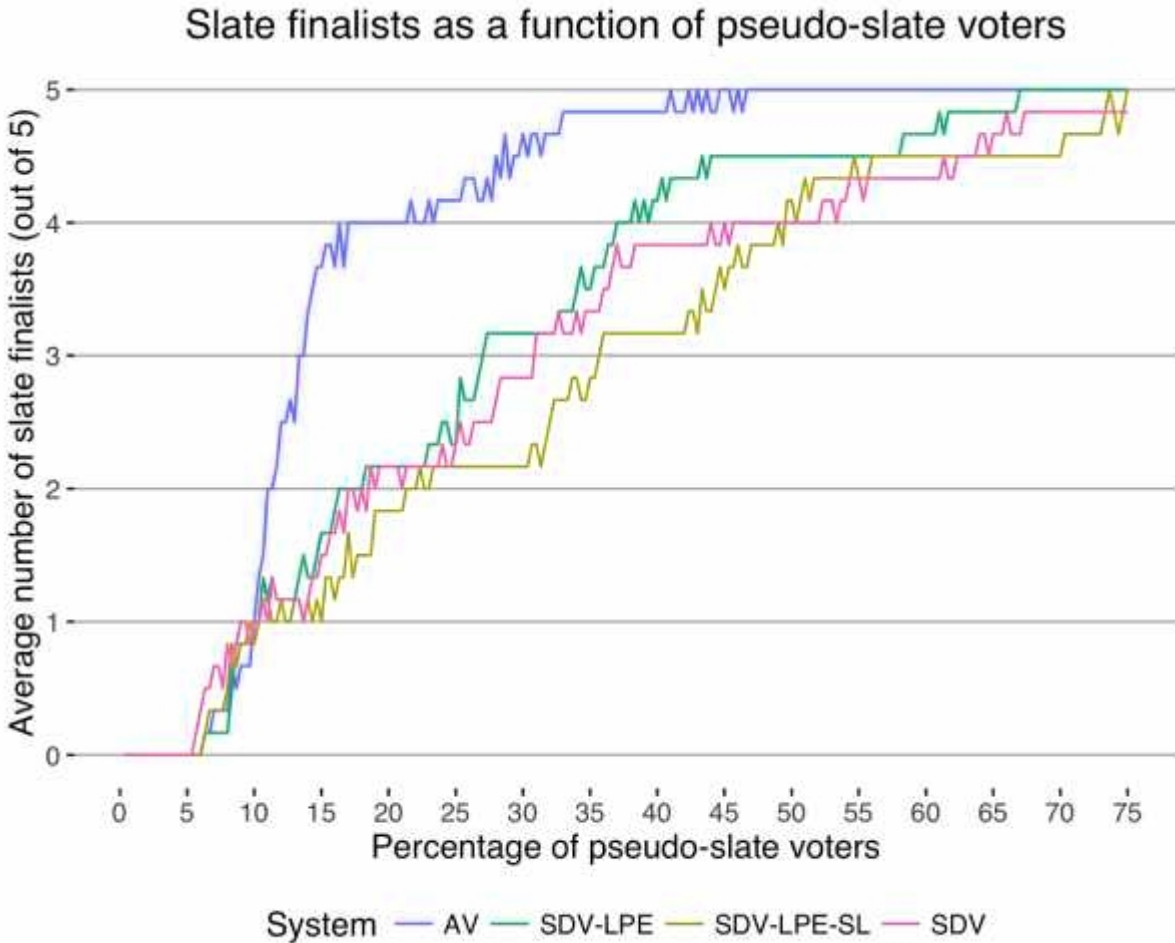
“SDV-LPE” is EPH; “SDV-LPE-SL” is EPH+; and “SDV” is a version of EPH which eliminates based on points and not approvals (and thus encourages bullet voting). (Note: ignore the “(out of 5)” in the label for the y axis.)

The lesson of the graph above is that even an imperfectly-coordinated slate could leave fewer than 5 organic results on the longlist, swamping 3SV, with as few as 300 voters; something that would take over 800 voters under EPH+.

Q. I want to look at lots of graphs!

A. Here is a graph of what a slate could have accomplished in the 2015 Hugos, averaged across 6 prose-work categories. This assumes slate coordination was no better

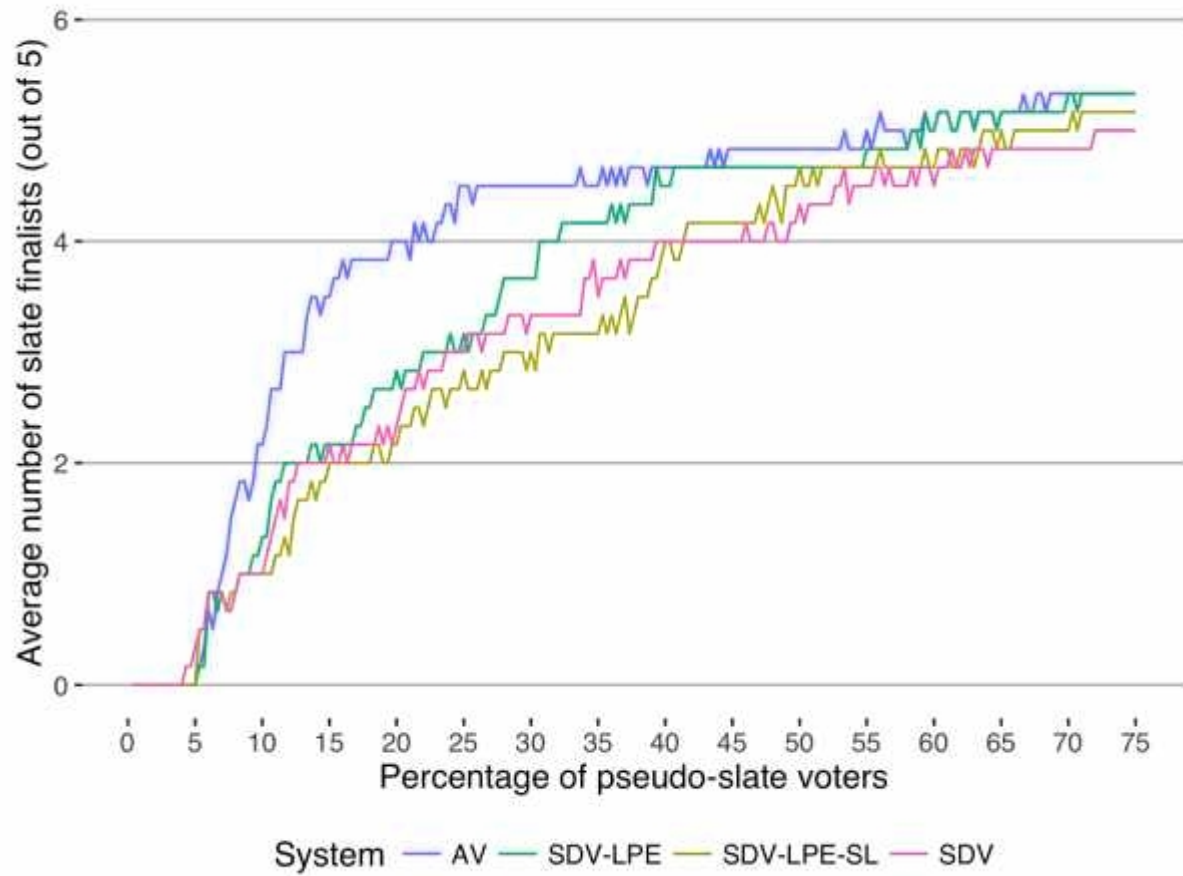
than it was in reality (including the sad/rabid split and some slate voters who nominated a minority of non-slate works).



Same as above, but assuming idealized coordination among the slate (identical ballots, except as needed to prevent exact ties):

Here's a graph of what they could have done if "4 and 6" were in place (under similar assumptions):

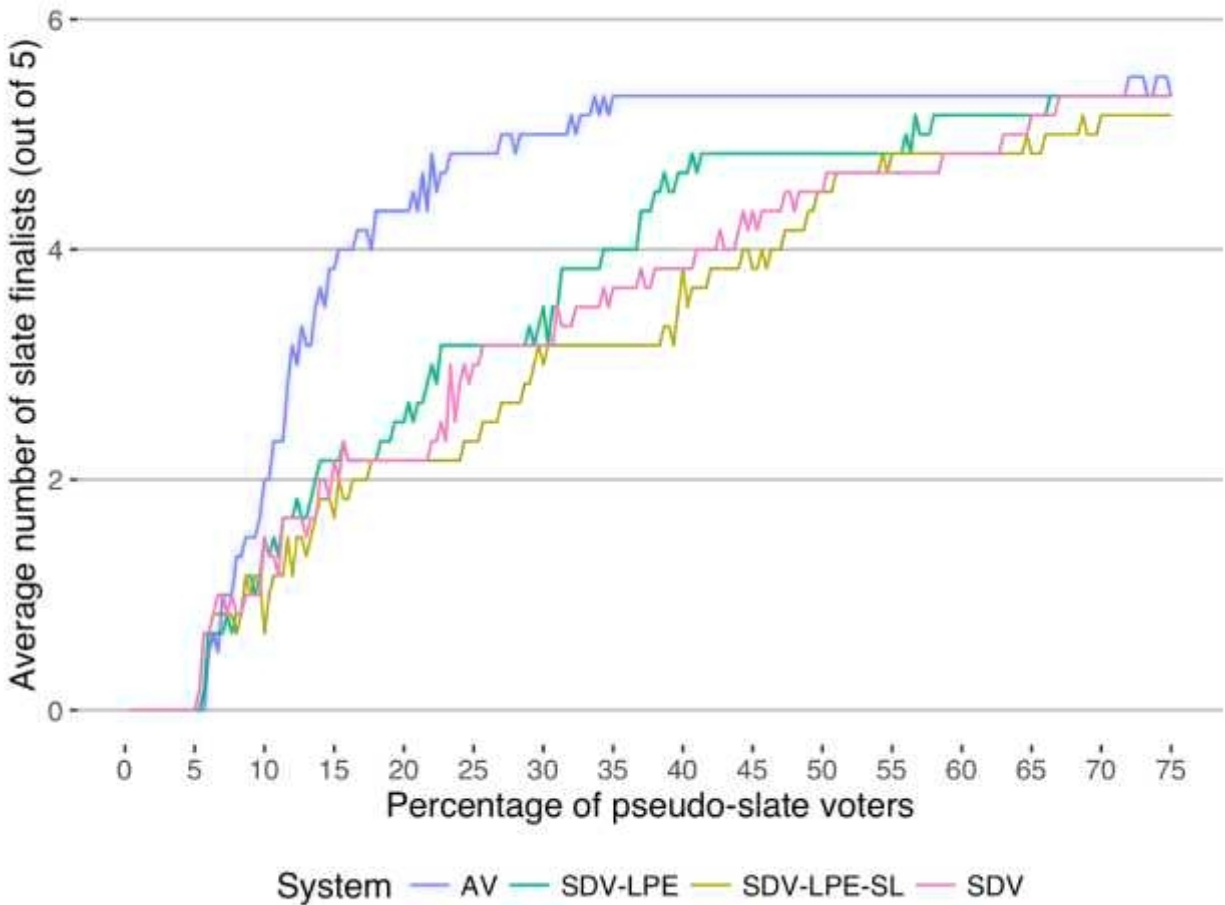
4 and 6: Slate finalists as a function of pseudo-slate voters



(Note: ignore the “(out of 5)” in the label for the y axis.)

As above, for “5 and 6”:

5 and 6: Slate finalists as a function of pseudo-slate voters



B.2.7 Short Title: Defining North America

Moved, to amend the WSFS Constitution to add a new subsection after existing subsection 4.8.4 to define “North America” for WSFS purposes, as follows:

4.8.X: For the purposes of this Constitution, North America is defined as: Canada, the United States of America (including Hawaii, Alaska, and the District of Columbia), Mexico, Central America, the islands of the Caribbean, St. Pierre et Miquelon, Bermuda, and the Bahamas.

Proposed by: The Nitpicking & Flyspecking Committee

Commentary: When WSFS deleted the former zone definitions from the Constitution, it inadvertently created some ambiguity about which places might “trigger a NASFiC” should a site be selected there for a Worldcon. This is not entirely academic, as an actual, serious filed bid for Hawaii placed second in a four-way race in 1990. Hawaii is not part of geographic North America, and thus the selection of a Worldcon there might plausibly trigger a NASFiC election. In addition, there has been discussion about how the western half of Iceland can, by certain definitions, be considered to be part of North

America. The NPSFC thinks that defining North America as the political entities concerned (and explicitly including Hawaii) would reduce any ambiguity about certain edge cases.

B.2.8 Short Title: Retrospective Improvement

To replace the current “Retrospective Hugos” section (Section 3.13) of the WSFS Constitution with the following:

Section 3.13: Retrospective Hugos

3.13.1: A Worldcon held in a year that is an exact multiple of 25 years after a year in which no Hugos were presented may conduct nominations and elections for retrospective year Hugos for that year with procedures as for the current Hugos, provided that year was 1939 or later and that no previous Worldcon has awarded retrospective year Hugos for that year.

3.13.2: A Worldcon held in a year that is an exact multiple of 25 years after a year in which No Award won in a Hugo category may conduct nominations and elections for a retrospective category Hugo for that year and category with procedures as for the current Hugos, except that the category definition for that category when No Award won shall be used, provided that no previous Worldcon has awarded a retrospective category Hugo for that year and category.

3.13.3: In any listing of Hugo Award winners published by a Worldcon committee or WSFS, retrospective Hugo Awards shall be distinguished and annotated with the year in which such retrospective Hugos were voted.

Proposed by: Donald E. Eastlake, III and Jill Eastlake

Commentary: The current WSFS Constitution text is as follows:

Section 3.13: Retrospective Hugos.

A Worldcon held 50, 75, or 100 years after a Worldcon at which no Hugos were presented may conduct nominations and elections for Hugos which would have been presented at that previous Worldcon. Procedures shall be as for the current Hugos. Categories receiving insufficient numbers of nominations may be dropped. Once retrospective Hugos have been awarded for a Worldcon, no other Worldcon shall present retrospective Hugos for that Worldcon.

This amendment to the WSFS Constitution (1) condenses and generalizes the text for retrospective year Hugos, (2) adds provisions for retrospective category Hugos, and (3) requires that retrospective Hugos be distinguished in lists of Hugo Award winners with the year in which they were voted being noted.

Contrasting with the existing retrospective year Hugos:

- The new text allows retrospective year Hugos for the years 1942 through 1945, when there was no Worldcon held due to World War II. The current WSFS Constitution does not permit retrospective Hugo Awards for those years and it makes little sense to allow retrospective Hugos for some years back to 1939 but not allow them for 1942 through 1945.
- It also allows retrospective Hugos any multiple of 25 years after the year the Hugos previously skipped whereas the existing text allows them only 25, 50, or 75 years later. This makes the provisions simpler and more general.
- And, the new text drops the superfluous sentence allowing categories to be dropped for retrospective year Hugos if there are insufficient nominations; other constitutional provisions permitting a Hugo category to be dropped due to lack of nominations or final votes automatically permit this.
- (The year mentioned in the new text, 1939, could be changed to 1940 with no effect as retrospective year Hugos have already been awarded for 1939.)

The new text also adds the possibility of retrospective category Hugos if a Worldcon committee feels it is appropriate. 25 (or some larger multiple of 25) years after No Award won in a category, a Worldcon committee may run nominations and voting for that category for the year in which No Award won.

Finally, the new text requires that, in future published Hugo Award lists, any retrospective awards be indicated as such and give the year in which they were chosen. Although we believe that, so far, this has always been done, there is currently no such requirement. A Worldcon could, for example, list the 1939 retrospective Hugos as if they had been selected that year, which would be misleading.

In no case is any Worldcon committee required to run any retrospective year or category Hugos.

B.2.9 Short Title: Universal Suffrage

Moved, to amend the WSFS Constitution to limit the convention in issuing memberships without voting rights by inserting text as follows:

1.5.8: No convention committee shall sell a membership that includes any WSFS voting rights for less than the cost of the Supporting Membership required by Article 4 in the selection of that convention.

1.5.x: No convention committee shall sell a membership that is available to persons of the age of majority at the time of the convention (as defined by the laws of the country and other jurisdictions where the convention is being held), that allows attendance and full participation for the entire duration of the convention and that does not include all WSFS voting rights. Should no law of the country and other jurisdictions where the convention is being held define an age of majority, the convention shall consider all persons 18 years of age or older as being of age of majority.

Proposed by: Ron Oakes, Linda Deneroff and Tara Oakes

Commentary: This new clause strengthens 1.5.8 slightly by ensuring that no Worldcon committee will create a class of “attending only” membership without voting rights. This still allows for child and day memberships. As this only applies to memberships being sold, the convention is still permitted to create free, limited classes, such as press or assistant badges, that will have access during the entire duration but will not have WSFS rights.

While it is not foreseen that any current or near-future Worldcon committee or bid would choose to create an “attending only” membership, this would ensure that future committees would be bound by the tradition that Worldcon attendance and WSFS membership are interconnected

B.2.10 Short Title: Non-transferability of Voting Rights

Moved, to amend Article 1 by ~~striking out~~ and inserting text as follows:

1.5.1: Each Worldcon shall offer supporting memberships and attending ~~memberships~~ supplements.

1.5.2: The rights of supporting members of a Worldcon include the right to receive all of its generally distributed publications. Supporting memberships held by natural persons may not be transferred, except that, in case of death of a natural person holding a supporting membership, it may be transferred to the estate of the decedent.

1.5.3: The rights of ~~attending members~~ supporting members who have an attending supplement of a Worldcon include the rights of supporting members plus the right of general attendance at said Worldcon and at the WSFS Business Meeting held thereat.

1.5.5: Voters have the right to ~~convert to attending membership~~ purchase an attending supplement in the selected Worldcon within ninety (90) days of its selection, for an additional fee set by its committee. This fee must not exceed four (4) times the site-selection fee and must not exceed the ~~difference between the site-selection fee and the fee~~ price of an attending supplement for new attending members.

Proposed by: Dave McCarty and Ben Yalow

Commentary: In the past, we’ve had both supporting and attending memberships, each with a full set of WSFS rights. And, while it’s been very rare to transfer supporting memberships, attending memberships are frequently transferred, with an administrative nightmare of ensuring the each membership, and each person, can vote only once.

This motion divides the membership in the Society (and the right to vote on the Hugos/site selection) from the right to attend the annual meeting of the Society. And it says that a person joins the Society irrevocably—once a (supporting) membership is

associated with a natural person, then it stay with that person throughout, and cannot be transferred, which also means that the voting rights stay with that person permanently. You join the Society to participate in its affairs, and support its goals, and that support isn't something you can freely resell.

However, the right to attend the annual meeting of the Society is something that can be freely transferred. If a person buys an attending supplement, that supplement can be transferred to anyone who is already a member of the Society (which means someone who has a supporting membership already). So the large investment in attending the meeting is one that a person can make, but it's not irrevocable—that supplement can be sold to anyone who wants it.

This also doesn't prevent the Worldcon from selling "admissions", which allow people to attend the meeting, without being members of the Society. And those admissions therefore don't require a supporting membership, and don't carry any voting rights for Hugos or site selection (since those are associated with membership in the Society, not with the admission to the meeting). This also follows the practices of other professional societies, many of which allow non-members to attend their annual meetings, although often at a higher price.

We do continue the practice of allowing things like clubs to buy a supporting membership, just for purposes of supporting the Society. However, the Constitution already restricts the voting rights of entities other than natural persons (see Sections 4.3 and 6.2 of the Constitution). And, in the case of death of a member of the Society, which would automatically transfer the membership via the estate, we do permit that transfer.

Administratively, it also makes life much easier for Hugo and site selection administrators. They will no longer need to keep track, through a chain of transfers, which voting rights have been exercised (and different committees have had different rules for how those cases are to be handled, since the Constitution doesn't explicitly cover those cases, except with the general rule that you only get to vote once). The proposers of this motion have been administrators for both of those votes, in the past, and find that making administrators lives easier, without impairing the rights of the members to vote, is very much a feature.

But, while administrative ease is really a nice benefit, the philosophical underlying basis is the primary reason for this motion—it makes it clear that joining the Society is a decision that each person makes, and they continue to hold that position, and its accompanying rights, until they terminate due to the end of the convention. People can decide to attend the meeting, or not—and that can be transferred—but joining the Society is a philosophical decision that a person makes once for each Worldcon, and is held on to.

B.2.11 Short Title: Young Adult Award

Moved, to amend the WSFS Constitution for the purpose of establishing an award for Young Adult literature by ~~striking out~~ and [adding](#) words as follows:

1. *Insert words in existing sections 3.7.3 and 3.10.2 as follows:*

3.7.3: Nominations shall be solicited only for the Hugo Awards, ~~and~~ the John W. Campbell Award for Best New Writer, and the <blank> Award for Best Young Adult Book.

3.10.2: Final Award ballots shall list only the Hugo Awards, ~~and~~ the John W. Campbell Award for Best New Writer, and the <blank> Award for Best Young Adult Book.

2. *Insert the following section before existing Section 3.4.:*

3.X: <blank> Award for Best Young Adult Book. The <blank> Award for Best Young Adult Book is given for a book published for young adult readers in the field of science fiction or fantasy appearing for the first time during the previous calendar year, with such exceptions as are listed in Section 3.4.

Provided that filling the < blank> in this amendment to name the award shall not be considered a greater change in the scope of the amendment.

Provided that unless this amendment is re-ratified by the 2021 Business Meeting, Section 3.X shall be repealed and the modifications to 3.7.3 and 3.10.2 reversed; and

Provided further that the question of re-ratification shall automatically be placed on the agenda of the 2021 Business Meeting.

Proposed by: Members of the YA Award Committee

Commentary: The YA award Committee is proposing a new WSFS award for Young Adult fiction that, like the Campbell Award, would not be a Hugo but would be administered by the WSFS. There have been many attempts going back to the 1990s to create a YA Hugo award, but none of these were successful. The previous year's YA Hugo Committee (2014-2015) determined that a Hugo was not feasible, while this year's Committee determined that an award in the mold of the Campbell has merits.

For details of the Committee's findings, please see the Report submitted to the Business Meeting. In brief, no sponsor is required for an award, which would be a WSFS-sponsored award. Like the Hugo and Campbell, it would be added to the Constitution. The award would be paid for and administered by each Worldcon and presented during the Hugo Ceremony.

This proposal represents the closest we could come to a consensus in the time allotted. Although there are areas where the members of the Committee do not perfectly agree, we feel this proposal reflects our general feeling that a YA award at Worldcon is viable. We recommend its passage and the creation of a separate committee to move forward with consideration of a name for this new award and the physical template for it.

Why No Definition of YA?

Creating a Campbell-like award decreases the need for a strict definition of YA.

As demonstrated by the 2015 YA Hugo Committee Report, attempts to define YA produce extremely varied results, with attempts emphasizing intended audience, publishing categories and merchandizing, age of the protagonist, or elements internal to the narrative. A number of awards have chosen not to provide a definition.

Given the concern that we not recognize the same work as a finalist in more than one Hugo category, a Hugo category for YA would require that a constitutional amendment either distinguish YA from the existing fiction categories, or force authors/Hugo administrators to choose which of the two categories was most appropriate. With a Campbell-like award, the administrative need for an explicit definition is no longer necessary.

Finally, it is often argued that constitutional provisions function best when they leave room for the discretion of individual Worldcons, as well as changing times and interpretations. After deliberation, the committee concluded that it is preferable to keep references to teen/YA literature as general as possible.

Why “book” rather than “novel”?

According to industry observers, teen lit/YA is one of the most flexible categories with respect to word count, encompassing an extremely wide range. This variety is a strong argument for not using strict word count criteria. Because teen/YA lit is such a flexible category, an award should reflect that reality.

We also discussed “book” as a broader term that could encompass the variety of excellent works that voters might choose to recognize. The term “book” allows voters to nominate beyond otherwise limiting descriptors such as “prose,” “print,” and so on.

Dual Eligibility

As with the Campbell Award, a book nominated for a YA Award could also be nominated for a Hugo Award or Campbell Award, as these awards each have different criteria. As already established under the current rules, finalists have the opportunity to decline their nomination before the list is published.

Why the <blank> Award?

It became clear that this naming issue is beyond the scope of this year’s committee, and should be a separate matter if an award is passed. We therefore left the name <blank> in this vote’s proposal, with the provision that filling in the blank would not be considered a greater change and could be done as part of the ratification process.

The appearance of the proposed award cannot be established until the name of the award is approved. It seems best that the costs and time needed to solicit a design be postponed until the award is (potentially) passed and ratified.

C. COMMITTEE REPORTS AND MOTIONS

C.1 Standing Committees of WSFS

C.1.1 Mark Protection Committee

Highlights

- EU Mark Registration obtained for WORLDCON and Hugo Award Logo
- Worldcon/WSFS/NASFiC web sites redesigned and reorganized

Membership and Structure

Members of the Mark Protection Committee (“MPC”) from August 2015 through July 2016 were as follows, with the expiration of membership listed in parentheses after their name: Stephen Boucher (elected until 2018), John Coxon (elected until 2017), Linda Deneroff, (elected until 2017), Paul Dormer (appointed by Loncon 3 until 2016), Donald E. Eastlake III (elected until 2018), Bruce Farr (elected until 2018), Glenn Glazer (appointed by Sasquan until 2017¹), Deb Geisler (appointed by Detcon 1 until 2016), Tim Illingworth (elected until 2016), Michael Lee (appointed by Worldcon 75 until 2019), Dave McCarty (elected until 2017), Mark Olson (appointed by MidAmericon II until 2018), Kevin Standlee (elected until 2016), and Ben Yalow (elected until 2016). The term for Randall Shepherd expired at the conclusion of the 2015 meeting, and the MPC thanks him for his service to this committee. Kevin Standlee was elected Chairman; Linda Deneroff, Secretary; and Bruce Farr, Treasurer. Although the term for Scott Dennis expired at the conclusion of the 2015 meeting, he was appointed assistant treasurer by the MPC for the current MPC term. Our Canadian agent is Adrienne Seel.

Worldcon Intellectual Property (“WIP”) is a California public benefit/non-profit corporation (also recognized as a 501c3 tax-exempt charity by the U.S. Internal Revenue Service) controlled by the MPC that holds the MPC’s bank account. The WIP Finance Report is appended at the end of this document. A report from the Hugo Awards Marketing Committee is included as an appendix to this report. The Worldcon Website Working Group was discharged at last year’s first MPC meeting and its tasks assigned to the Hugo Awards Marketing Committee.

Registration of EU Marks:

Over the course of 2015-2016, the MPC (through WIP; see above) has pursued its service mark applications in the EU. Several groups opposed our marks, and throughout the year, we have been in consultation with our solicitors in the UK over how to proceed. We note that without the large donation from Sasquan (far more than the “traditional” donation of US\$0.50 per site selection voter), we could not have

¹ Glenn Glazer resigned at the end of 2015 and Mike Willmoth was appointed in Glenn’s place.

afforded to pursue this registration process. Due to the various objections and negotiations, it proved to be a much more expensive project than we originally expected.

In January 2016, we received our uncontested EU mark registration for WORLDCON; and in March 2016, we received our EU mark registration for the Hugo Award logo (but not for HUGO AWARD itself).

There were several groups that opposed registration of the HUGO AWARD mark for various reasons having to do with overlap in their name with “Hugo” in different ways. After sometimes protracted negotiations through our solicitors, we were able to modify our registration applications so that all groups withdrew their objections and opposition to our registration on HUGO AWARD. So, sometime later this year we should receive the registration on HUGO AWARD to go with our existing registrations on WORLDCON and the Hugo Award logo.

Note regarding “Brexit”: Our UK solicitors have stated generally to their clients, including us, that the impact of the “Brexit” upon service marks registered in the EU is unknown, and that it is one of the many things that will have to be negotiated between the UK and the EU should the UK actually withdraw from the European Union. Until such time as such a withdrawal happens, it is business as usual, and EU -wide service mark registration applies within the UK.

Other Business:

1. **August 2015:** George Mitchell, who had been hosting the Worldcon.org, NASFiC.org, and WSFS.org web sites for many years, appeared before the WSFS Business Meeting at Sasquan asking if WSFS could move the sites to new servers. The MPC discharged the Worldcon Website Working Group (which had tidied up the domain registration making such moves to new servers easier) and assigned the task of both moving the sites and redesigning them to the Hugo Awards Marketing Committee, which already was responsible for managing the Hugo Awards web site.

After Sasquan concluded, the Mark Protection Committee was contacted by someone trying to create dissension regarding the use of our marks at Sasquan. We did not respond because the use of the WSFS marks by or in connection with a Worldcon is automatically an authorized use.

2. **September 2015:** Sasquan generously presented Worldcon Intellectual Property with a check for \$10,500 in order to allow the MPC to continue its effort to obtain trademark status for its marks in the EU.

Due to our pursuit of trademarks in the EU, we started to be contact by various scammers claiming to have received trademark applications by others and asking whether we’d authorized these applications. Our policy is to ignore these email requests.

3. **October 2015:** After a website crash, the Hugo Winner logos for 2015 (and several subsequent years) were recovered thanks to René Walling. Additionally a winner-logo question was added to the FAQ on www.HugoAwards.org.

Later that month, the MPC was contacted by Duane Brewster regarding his creation of four possible WSFS logos for the WSFS website. However, the MPC was unable to reach a consensus and let the matter drop.

4. **November 2015:** The MPC was contacted by David Steffen regarding an anthology of works from the longer list of stories nominated by Hugo voters that he was considering. He planned to call the anthology, *The Long List Anthology* and wondered how acceptable it might be to use the Hugo name in an explanatory subtitle. Since the contents of the book would be genuine, non-adulterated Hugo-nominated fiction, the MPC had no objection.

Also in late November, Sasquan made a \$1,000 donation to TheHugoAward.org in support of the website and extra hosting bandwidth for the CoverItLive show at MidAmeriCon II.

5. **December 2015:** Jason Lorr, one of the producers of *Orphan Black*, asked the MPC if it might use its Hugo Award in a scene for an upcoming episode. The MPC pointed out that they didn't need the permission because they'd won the award; it is theirs to do with as they please. However, the MPC did ask to be informed as to when the episode is scheduled to air so that it could be publicized the Hugo Awards website.

Also in December, Steven Silver asked if the Jovian Awards were anything the MPC might be interested in. They didn't take the Hugos' name in vain, but apparently they were created and sent to the five individuals whose works came in second to No Award at Spokane. Since there was no infringement on our mark, the MPC took no action.

6. **January 2016:** As part of the recommendations made by the 2014 WSFS Meeting, WIP was instructed to take over the MPC banking functions when convenient to do so. Driving in Indiana in January, Scott Dennis was able to close the old MPC bank account there, and all WIP banking was consolidated in our account with US Bank in California.
7. **February 2016:** We received an email informing us that Milan Jaram was claiming to be a 2015 Hugo nominee for Best Fan Artist and Best Professional Artist. We responded that someone can be a "Hugo Award nominee" with a single nomination (even from him/herself). That was why we deprecated the term "Hugo Award nominee" and began using "finalist" instead.

Also in February we were again notified that the Indian Colonoscopy Worldcon was using the website, <http://worldcon2016.com/>. They are in India, where we have never held a Worldcon, have no legal protection, and where there are no plans to hold a Worldcon in the foreseeable future. We continue to do nothing but monitor the situation. However, Don Eastlake pointed out that we need to have documentary

evidence that we have put them on notice that they are infringing. He suggested sending them a written letter, preferably by International Registered mail return receipt requested, carefully not putting “Worldcon” in the return address to maximize their probability of accepting the mail, pointing out that we have the senior mark, registered in many countries, etc., and demanding they stop. Once we actually have solid documentary evidence of contacting them, we could then initiate proceedings to have the worldcon2016.com domain name taken away from them. (They seem to be registered through GoDaddy. The name seems not to be actually registered by the conference but by the company that did their website.) Kevin agreed to send the letter.

At around the same time, Andy Porter informed the MPC that a Swedish group was using the term “Hugo Prize”. Using Google Translate, Kevin determined that this appears to be a new name for an existing award that has been around for 30 years. The MPC decided to hold off on any action until we get our EU mark registration over the hump, and then perhaps we could ask them to change their name to the Theorell Prize (the award being named for Hugo Theorell) to reduce any potential confusion.

8. **March 2016:** The MPC was again informed, this time from Mike Glycer, of another possible infringement by a FOX-TV sports reporter in Charlotte handing out a green creature and calling it “The Hugo” award. Kevin wrote him a polite letter requesting him to cease.

Also in March, Sean Wallace of Prime Books asked the MPC to again grant him a license for an anthology containing a selection of Hugo Awards and Nebula Awards winners from *Asimov’s SF*. The license was issued in early April.

9. **April 2016:** On behalf of MidAmeriCon II, Dave McCarty enquired if their graphic artists could alter the Hugo Award logo to change the color to fit the template they wanted to use for slides when they made the announcement of the Hugo finalists. We pointed out that the way in which that the proposed changes were a violation of our published usage guidelines two different ways, with the logo color distorted and the logo elements rearranged. MidAmeriCon II elected to not include the Hugo Award logo in their slides.

Also in April, Linda put together a proposed ten-year budget for MPC/WIP. Discussion of a long-term planning budget continued throughout the year; however, the committee deferred any specific action, including possibly requesting WSFS sanction to increase the “traditional donation” by which Worldcons fund the MPC’s operations, until next year.

10. **May 2016:** A company called Kustom-tees-4-u tried to sell tee-shirts with “Worldcon is my Superbowl” imprinted on it (as well as similar shirts using the names of other conventions). Since they also infringed on the NFL, we decided to let their highly paid lawyers handle it, and, indeed, the site no longer offers such shirts for sale.

11. **June 2016:** After ten years' worth of attempts, the WSFS/Worldcon/NASFiC websites were redesigned and their hosting migrated to pair.com and the mailing lists reconstituted. Maintenance of these sites (and the Hugo Awards web site) is currently the responsibility of the Hugo Awards Marketing Committee.

At the end of June, Chuck Tingle, a Hugo Award finalist, advertised a tee-shirt for sale. While the shirt itself made no mention of any of our marks, the description the website read "Please enjoy this hugo award nomination commemorative [sic] t-shirt." However, the MPC took no action because it was merely descriptive.

Mark Protection Committee/WIP Financial Report – 1 July 2015 through 30 June 2016

	Income	Expense Paid by MPC/WIP	Balance Checking Accounts
Cash on hand as of 1 July 2015			\$6,758.78
Deposit July 15, 2015 Lonestarcon 3 pledge and adj to bank fees	\$1813.61		\$8,572.39
Cover it Live 8-6-15		\$149.00	\$8,423.39
Reimbursements at Sasquan (Standlee others): Website/hosting cost		\$381.15	\$8,042.24
Reimbursements at Sasquan (Standlee others): Bank fees, Misc.		\$25.95	\$8,016.29
Sasquan Funding for WIP Deposit 9-8-15	\$10,500.00		\$18,516.29
Cover it Live 9-2-15		\$151.00	\$18,365.29
Deposit 11-25-15 Sasquan Grant	\$1,000.00		\$19,365.29
Wire 11-9-15 British Solicitors for Trademark work		1,655.25	\$17,710.04
California Sec of State filing 12-23-15		\$20.00	\$17,690.04
Wire 12-14-15 British Solicitors for Trademark work		\$1,500.00	16,190.04\$
Wire Fee 12-17-15		\$46.00	\$16,144.04
Wire Fee 1-15-16		\$46.00	\$16,098.04
Wire 2-5-16 British Solicitors for Trademark work		\$4,500.00	\$11,598.04
Wire Fee 3-14-16		\$46.00	\$11,552.04
Pair Networks 59-16 Website		\$65.69	\$11,486.35
Pair Networks 62-16 Website		\$39.31	\$11,447.04
Wire 6-2-16 British Solicitors for Trademark work		\$3,750.00	\$7,697.04
Balance of our cash accounts as of 30 June 2016			\$7,697.04

Notes:

- **Note 1:** The amounts paid to the British Solicitors, Clarke Willmott LLC, were paid in British Pounds. Amounts shown are after conversion to US Dollars via wire transfers at U S Bank.
- **Note 2:** The committee changed its fiscal year for reporting to end June 30 as of the last report. This report is for a 12-month period.

The committee thanks Sasquan for its generosity in supporting our efforts financially well beyond its mandated contribution requirement.

Hugo Award Marketing Committee Report to Mark Protection Committee September 2015 – July 2016

The Hugo Awards Marketing Committee (“HAMC”) members are Dave McCarty (Chair), Craig Miller, Cheryl Morgan, Mark Olson, and Kevin Standlee. HAMC is established by the WSFS Mark Protection Committee, and its chair and members are appointed by the MPC annually.

The HAMC continues to work with Worldcon committees to support the marketing of the Hugo Awards, to handle inquiries from the press regarding the Awards as needed, and to maintain TheHugoAwards.org, including maintaining the list of past finalists and winners, and archiving the “Section 3.11.4” reports of nomination and voting information issued by Hugo Award administrators. The HAMC also provides the live text-based coverage via CoverItLive of the Hugo Awards Ceremony. (This is not the streaming video coverage, which, when provided, is at the expense of the hosting Worldcon.) The cost of the CoverItLive coverage (around \$150/year) is paid by the MPC, sometimes with grants obtained from elsewhere.

This year, the HAMC also took on the task of managing the WSFS-owned websites Worldcon.org, NASFiC.org, and WSFS.org. We migrated all three sites from their previous hosting provided by George Mitchell to commercial hosting at Pair.com. We took this opportunity to redesign the sites so that they share a general resemblance to each other and to TheHugoAwards.org. All four sites (including the Hugo Awards site) now use the same platform (WordPress), and the administrative “keys” are in multiple hands to minimize the chance of the sites being “frozen” for long periods due to lack of available people to maintain them. We thank George Mitchell for his many years of service to WSFS hosting the sites, and Chaz Baden and Donald Eastlake III for their work maintaining those sites in the past.

C.2 Standing Committees of the Business Meeting

C.2.1 Nitpicking & Flyspecking Committee

The Nitpicking and Flyspecking Committee members for 2015-2016 are Donald Eastlake (Chair), Jared Dashoff, Linda Deneroff, Tim Illingworth, Jesi Pershing, and Kevin Standlee. The authority of this committee stems from:

Standing Rule 7.7: Nitpicking and Flyspecking Committee

The Business Meeting shall appoint a Nitpicking and Flyspecking Committee. The Committee shall:

- (1) Maintain the list of Rulings and Resolutions of Continuing Effect ;
- (2) Codify the Customs and Usages of WSFS and of the Business Meeting.

Actions: The Resolutions & Rulings of Continuing Effect is up to date at <http://www.wsfs.org/rules-of-the-world-science-fiction-society/>. Note that this is a new address as a result of the revamp of the WSFS web site.

As noted under [Item B.2.7 Defining North America](#), when WSFS deleted the former zone definitions from the Constitution, it inadvertently created some ambiguity about which places might “trigger a NASFiC” should a site be selected there for a Worldcon. This is not entirely academic, as an actual, serious filed bid for Hawaii placed second in a four-way race in 1990. Hawaii is not part of geographic North America, and thus the selection of a Worldcon there might plausibly trigger a NASFiC election. In addition, there has been discussion about how the western half of Iceland can, by certain definitions, be considered to be part of North America. The NPSFC thinks that defining North America as the political entities concerned (and explicitly including Hawaii) would reduce any ambiguity about certain edge cases, and thus we submitted the motion.

C.2.2 Worldcon Runners Guide Editorial Committee

The Worldcon Runners’ Guide Editorial Committee members for 2015-2016 are Mike Willmoth <mwillmoth@earthlink.net> (Chair), Alex von Thorn <avt@worldhouse.com>, Bill Taylor <jazz@qnet.com>, Bobbi Arbruster <barmbru@gmail.com>., John Hertz <no email>, Marah Searle-Kovacevic <marahsk@gmail.com>, Sharon Sbarsky <sbarsky@gmail.com>. The authority of this committee stems from:

Standing Rule 7.8: Worldcon Runners Guide Editorial Committee

The Business Meeting shall appoint a Worldcon Runners Guide Editorial Committee. The Committee shall maintain the Worldcon Runners Guide, which shall contain a compilation of the best practices in use among those who run Worldcons.

In late 2015 the administrator of the WCRG website/wiki, Bill Taylor, reported a catastrophic outage. The hosting company upgraded the software, didn’t perform a backup of the site, and wiped out the WCRG. Bill attempted to recover using previous backups, but they failed. Mike Willmoth had PDF copies of each page as of a few years ago, so he enlisted help in extracting the content to reload the site before continuing with making updates to the guide. As of the date of the submission of this report, that reload is in progress.

The WCRG can be found at <http://wcrg.conrunner.net>. Fans wishing to contribute to the wiki can create a userid/password before performing any edits deemed appropriate.

C.3 Special Committees

C.3.1 Formalization of Long List Entries (FOLLE) Committee

The Long List Committee has continued to curate the Long List of Worldcons and Long List of Worldcons. We are grateful to a number of people, including Janice Gelb, Chip Hitchcock, and René Walling for spotting a variety of minor errors, which we have now corrected.

The Long List Committee for 2015-2016 consists of Mark Olson (Chair), Craig Miller, David G. Grubbs, Joe Siclari, Kent Bloom, Colin Harris, Kevin Standlee, Tim Illingworth, and Ben Yalow.

The committee requests that the WSFS BM continue its endorsement of the committee for another year.

The current working website is at <http://www.smofinfo.com/LL/TheLongList.html>.

C.3.2 YA Hugo Award Study Committee

Committee Members: Anna Blumstein, Adam Beaton, Jacquelyn Bowin, Warren Buff, Johnny Carruthers, Chris Garcia, Helen Gbala, Joshua Kronengold, Laura Lamont, Farah Mendelsohn, Adam Tesh, Tim Illingworth, Sue "Twilight" Mohn, David Peterson, Jodie Baker, Tehani Wessely, Dina Krause, Kate Secor, Marguerite Smith, Kevin Standlee, Lew Wolkoff, Peter De Weerd, Clark B. Wierda, Martin Easterbrook, Christine Rake (Co-Chair), Katie Rask (Chair)

This Committee was formed at Sasquan in 2015, following the report and motion by the 2015 YA Hugo Committee. That committee determined that under the existing methodology of the Hugo Awards, a separate category for YA fiction was not practical (the Hugo fiction categories being defined by word count, not by age categories). The Committee suggested instead the creation of a Campbell-like Award and requested the (re)formation of a Committee to focus specifically on the issues surrounding the creation of a Campbell-like YA/teen lit award, with the results presented at next year's Business Meeting.

The 2015/2016 Committee therefore focused specifically on the issues surrounding the creation of a Campbell-like YA/teen lit award.

Findings: The Committee investigated the features of the Campbell and the Hugo, considered once more definitions of YA/teen lit, studied the costs and logistics of award creation, debated naming conventions, and addressed features most suitable in a proposal for such an award. Details of some of this work can be found in the Exhibits to this report, entitled 'The Hugo vs. The Campbell,' 'Young Adult Award Considerations,' and 'Naming an Award and Designing a Trophy/Plaque.'

1. The Committee finds that a Campbell-like award is feasible under the WSFS Constitution. Currently, the text-based literature awards presented by the Worldcon Membership follow two organizational methods, awarded to: 1) *work* (categorized by *length*, the Hugos), and 2) *author* (categorized by *time*

- in the field*, the Campbell). A YA Award would offer a third variation, presented to *work* (organized by *age group*).
2. No sponsor is required if WSFS rule establishes such an award. It would be a WSFS-sponsored award and the rules would be set by a vote of WSFS at the Business Meeting (and thus added to the Constitution).
 3. The Committee worked on drafting a proposal built on its findings. In an ideal situation, proposals for constitutional amendments are released early in order to get feedback. In our case, the Committee's main purpose (the investigation/report of a Campbell-like award) proved time consuming, but we felt it was better to properly consider all aspects of the award's creation to do justice to it. That being said, we have drafted a constitutional amendment proposal reflecting our most recent discussions and compromises. We welcome the input of fellow WSFS members. The amendment proposal discussion is presented under [Item B.2.11 Young Adult Award](#).
 4. We believe that the Committee should be (re)formed with the purpose of considering potential names for the award. We therefore left the name <blank> in the amendment proposal, with the provision that filling in the <blank> would not be considered a greater change and could be done as part of the ratification process.

Motion: The Committee requests that the Business Meeting reform the Committee for another year (with the addition of new members). The new version of the Committee will (1) solicit and evaluate name ideas and thoroughly research all the options and/or (2) develop and refine a proposal based on the discussion at this year's Business Meeting as well as additional public input.

EXHIBIT 1: THE HUGO VS. THE CAMPBELL

The Campbell and WSFS

In 1973, the Campbell Award was created to honor John W. Campbell (1910-1971), the editor of the *Analog Science Fiction and Fact* magazine for over 30 years. The Campbell wasn't a WSFS Award, but from the beginning it was presented at Worldcon. It was allowed on the Hugo Award ballot as an exception and is mentioned briefly in the WSFS Constitution.

Prior to 1980, individual Worldcons were allowed to include additional non-Hugo awards on the ballot (such as the short-lived Gandalf award). The Noreascon II Business Meeting in 1980 amended the Constitution to prohibit any award from the ballot except the thereafter constitutionally approved Hugo and the Campbell.

For a non-Hugo award to be permitted on the Hugo ballot, it must be approved by the Business Meeting and amended to the Constitution. Such an award does not need to follow the Hugo methodology to qualify.

Administration of the Awards

Features of the Hugo Best Novel:

- Rules for awarding the Hugo determined by Members of WSFS
- Ballot administered by the current Worldcon and Hugo Administration Committee
- Selection and nomination: previous, current, and following Worldcon members nominate; only current members vote
- Each Worldcon funds the trophy creation and base design, and designates someone to present the award
- All nominees receive a pin and the winner receives a Hugo trophy with base
- Awarded for a science fiction or fantasy story of forty thousand (40,000) words or more
- ‘Dual Eligibility’ not allowed in the literature categories; a work cannot be nominated for more than one literature Hugo, due to the word count divisions

Features of the John W. Campbell Award for Best Writer:

- Sponsored by the publisher of *Analog*, currently Dell Magazine (i.e., not a WSFS Award)
- Rules for awarding the Campbell determined by the award sponsor
- Ballot administered by the current Worldcon and Hugo Administration Committee, in the same manner as the Hugo
- Selection and nomination: previous, current, and following Worldcon members nominate; only current members vote
- Sponsor funds the award plaque and designates someone to present the award
- All nominees receive a pin and the winner receives a plaque
- Awarded to an author, a new SF writer whose first work was published in a professional publication in the previous two years
- “Dual eligibility” allowed; an author can be nominated for the Campbell and their work can be nominated for a Hugo

Main Differences Between the Awards

The main features highlighted by the above comparison are:

- Sponsorship and Funding
- Ballot Administration and Award Presentation
- Selection and Nomination Process
- Recipient and Category

- Dual Eligibility
- Award Trophy/Plaque and Nominee Pin

With respect to a YA Award, these issues are addressed further in Exhibit 2.

EXHIBIT 2: YOUNG ADULT AWARD CONSIDERATIONS (BASED ON EXHIBIT 1)

Sponsorship and Funding

The Campbell Award continues to have a non-WSFS sponsor due to the historical features of its creation in 1973. Consultation with members of the Hugo Committees has indicated that no sponsor would be required if the award was established by WSFS rule. It would be a WSFS-sponsored award and the rules would be set by a vote of WSFS at the BM (and thus added to the Constitution). A WSFS-sponsored award would be paid for by Worldcons.

Ballot Administration and Award Presentation

As a WSFS-sponsored award, administration of the ballot and presentation of the award would be managed by each year's Worldcon and the Hugo Award committee members.

Selection and Nomination Process

As a WSFS-sponsored award, nominations would be determined by the previous, current, and following year's Worldcon members. The current Worldcon members would vote on the final ballot.

Recipient and Category

Currently, the text-based literature awards presented by the Worldcon Membership follow two organizational methods, awarded to: 1) work (categorized by length, the Hugos), and 2) author (categorized by time in the field, the Campbell). A YA Award would offer a third variation, presented to work (organized by age group).

YA/Teen Lit Definitions

Creating a Campbell-like award decreases the need for a strict definition of YA. As demonstrated by the 2015 YA Hugo Committee Report, attempts to define YA/teen lit produce extremely varied results, emphasizing intended audience, publishing categories and merchandizing, age of the protagonist, or elements internal to the narrative. A number of awards have chosen not to provide a definition.

Given the concern that we not recognize the same work as a finalist in more than one Hugo category, a Hugo category for YA would require that a constitutional amendment either distinguish YA from the existing fiction categories, or force authors/Hugo administrators to choose which of the two categories was most appropriate. With a Campbell-like award, the administrative need for an explicit definition is no longer necessary.

Finally, it is often argued that constitutional provisions function best when they leave room for the discretion of individual Worldcons, as well as changing times and interpretations. After deliberation, the committee concluded that it is preferable to keep references to teen/YA literature as general as possible.

Word Count

Although a minority argued for word limits to the award, the majority consensus was that a word count criteria was not necessary for a non-Hugo award. The original Hugo awards did not feature a defined word count, although word count divisions became criteria of the Hugo fiction literature categories following codification in the 1960s. Although those codifications were related to publishing norms at the time, the recent trends in industry, especially with respect to teen/YA literature, do not coincide with those earlier categories

According to industry observers, teen lit/YA is one of the most flexible categories with respect to word count, encompassing an extremely wide range. (As word count examples: *The Giver* 43,617, *Froi of the Exiles* 163,701, and *Deathly Hallows* 198,227.) This variety is a strong argument for not using strict word count criteria. Because teen/YA lit is such a flexible category, an effective award should reflect that reality.

Dual Eligibility

As with the Campbell Award, a book nominated for a YA Award could also be nominated for a Hugo Award or Campbell Award, as these awards each have different criteria. As already established under the current rules, finalists have the opportunity to decline their nomination before the list is published.

Award Trophy/Plaque and Nominee Pin

The design and physical manifestation of the award itself is dependent on the designated name of the award. See Exhibit 3.

EXHIBIT 3: NAMING AN AWARD AND DESIGNING A TROPHY/PLAQUE

Naming an Award

If an award proposal should pass the Business Meeting, the next step would be to establish a name for the award. The Committee spent quite a bit of time debating this issue over the course of the past year. We determined that it needed greater study, preferably by the (re)formation of a Committee.

The naming of the award ultimately proved to be an incredibly complicated matter. While many YA awards have been named after people, others bear names referencing things or ideas. Regardless of which direction we took, the Committee found itself grappling with legal consequences, copyright matters, social ramifications, and the wide-ranging experiences of young readers. Moreover, we felt that it was important to be aware that some authors/symbols might bias nominators towards specific genres.

Although we discussed naming the award after certain influential authors, the Committee was inclined towards a thing, symbol, or other idea that was especially evocative. We hoped to capture the transformative, transportational, and captivating power of books for young people. It became clear that this complex and delicate matter was beyond the scope of this year's committee, and should be a separate matter if an award is passed.

We propose, therefore, the creation of a separate committee, should this year's award proposal pass, to solicit and evaluate name ideas and thoroughly research all the options. We therefore left the name blank in this vote's proposal, with the provision that filling in the blank would not be considered a greater change and could be done as part of the ratification process.

Award Appearance

The Committee briefly addressed the issue of an award appearance, researching the logistics and financial issues surrounding the creation of a trophy, statuette, or plaque (not all included here). The costs associated with the award itself include the trophy/plaque and engraving (with lapel pin and ribbons). As mentioned in Exhibit 1, the Campbell Award plaque is funded by the publisher of *Analog*, while each year's Worldcon covers the Hugo rockets. Hugo trophies usually cost in the neighborhood of \$200-400 for the rocket and its base. A plaque costs around \$60-80. The initial design of the award and its selection must also be taken into account.

The appearance of the proposed award cannot be established until the name of the award is approved. It seems best that the costs and time needed to solicit a design be postponed until the award is (potentially) passed and ratified.

D. FINANCIAL REPORTS

D.1 Anticipation

Anticipation has not yet disposed of its surplus, and therefore this is not its final report.

**Financial Report
Cansmof, Inc.
For the period August 1, 2015 to July 31, 2016**

Opening Balance	\$42,119.17
Administrative Fees	\$235.36
Grants:	
CSFFA (Aurora Awards)	\$200.00
Smofcon Scholarships	\$2,000.00
Video Archive Project	\$3,000.00
Can-Con	\$515.00
Total Expenses	\$5,950.36
Balance on July 31, 2016	\$36,168.81

Prepared by: René Walling on behalf of CanSmof Inc.

Note: All amounts in Canadian dollars (CAD)

Convention: Anticipation

Parent Corporation: Cansmof

Address: 975 Melrose, #6, Montréal, QC H4A 2R3 Canada

Contact Email: cansmof@gmail.com

Current tax status: a federally incorporated Canadian not for profit corporation

Officers and Board Members (in alphabetical order):

Robbie Bourget

Terry Fong (Treasurer)

Eugene Heller (Vice President)

Diane Lacey

Dawn McKechnie

Linda Ross-Mansfield

Jannie Shea

Kevin Standlee

René Walling (President)

D.2 Chicon 7



Chicon 7 has now disbursed all its funds, and this is its final report to WSFS.

Chicon 7

Financial Statement

August 1, 2015 through August 5, 2016

	Beginning Balance		\$19,534.06
	Income		\$0.00
Expenditures			
Grants		(\$19,466.06)	
Science Fiction Outreach Project	(\$1,466.06)		
ISFiC Press	(\$4,000.00)		
ISFiC	(\$5,000.00)		
Pass-along (Future Chicago Bid)	(\$2,000.00)		
Pass-along (2017 NASFiC)	(\$5,000.00)		
Worldcon Heritage Organization	(\$1,000.00)		
Con or Bust	(\$1,000.00)		
Loncon 3	(\$1,000.00)		
Other		(\$68.00)	
State Filing Fees	(\$10.00)		
Bank Fees	(\$58.00)		
	Total Expenditures		(\$19,534.06)
	Closing Balance		\$0.00

Prepared by: Tom Veal (Corporate Treasurer) <treasurer@chicon.org>

Verified by Dave McCarty (Convention Chairman)

Convention: Chicon 7

Parent Corporation: Chicon Worldcon Bid

Current tax status: an Illinois not-for-profit corporation and tax exempt under §501(c)(3) of the Internal Revenue Code

Address: P.O. Box 13, Skokie, IL 60076

Website: www.chicon.org

Corporate Website: <http://www.chicon.org/corp-info.php>

Contact Email: chair@chicon.org

Current Members: (all can be reached at chair@chicon.org)

Dave McCarty

Helen Montgomery

Steven Silver

Tom Veal

Lane Verhulst

D.3 LoneStarCon 3



Profit & Loss August 1, 2015 – August 5, 2016

	Total
Opening Balance	\$13,438.63
Income (uncleared reimbursement checks)	\$4,338.70
Total Cash on Hand	\$17,777.33
Expenses	
610000 CHAIR'S OFFICE	\$0.00
610100 GENERAL EXPENSES	\$0.00
610102 Travel / Expenses Support	\$417.30
Total 610100 GENERAL EXPENSES	\$417.30
610200 PRE-CON	\$0.00
610203 Gifts	\$2,905.01
Total 610200 PRE-CON	\$2,905.01
610400 POST-CON	\$0.00
610402 Reimbursements POST-CON	\$2,378.80
610404 Misc. Post Con Expenses	\$1,854.77
Total 610400 POST-CON	\$4,233.57
610501 CHARITABLE CONTRIBUTIONS	\$2,000.00
Total 610000 CHAIR'S OFFICE	\$9,555.88
620000 FINANCE DIVISION	\$453.25
630000 WSFS DIVISION	96.85
630300 MPC Dues	1,750.00
630800 Hugo award shipping boxes for w	66.70
Total 630000 WSFS DIVISION	1,913.55

660000 PROGRAM DIVISION	\$0.00
660100 GUESTS OF HONOUR	\$150.00
Total 660000 PROGRAM DIVISION	\$150.00
680200 IT SUPPORT	\$0.00
680202 Internet Services (Hosting etc)	\$168.00
Total 680200 IT SUPPORT	\$168.00
Total Expenses	\$12,240.68
Income	\$17,777.33
Expenses	\$12,240.68
LoneStarCon 3 Checking Acct Balance as of 8/05/2016	\$5,536.65

ALAMO - LSC3 Share

Date	Description	Detail	Deposit	Expense	Balance
12/28/14	Deposit	LoneStarCon 3 Pass Along Funds Share	60,000.00		60,000.00
12/22/15	Check	Returned Mail - PO Box - LSC3		8.77	59,991.23
01/08/16	Check	MASFFC - Video Archeology Grant		3,100.00	56,891.23
01/19/16	Check	Science Fiction Outreach Project – Grant		500.00	56,391.23
05/01/16	Check	MidAmericcon 2 - Grant – Sponsorship		2,000.00	54,391.23
05/01/16	Check	Space Agency Fan Fund – Donation		2,500.00	51,891.23
		Totals	60,000.00	8,108.77	51,891.23

Prepared by: Bill Parker

Convention: LoneStarCon 3

Parent Organization: Alamo Literary Arts Maintenance Organization

Current Tax Status: a 501(c)(3) organization

Address: P.O. Box 27277, Austin, TX 78755-2277

Contact Email: president@alamo-sf.org

Website: <http://alamo-sf.org>

Officers:

President: Scott Zrubrek <president@alamo-sf.org>

Vice President: Randall Shepherd <vicepresident@alamo-sf.org>

Secretary: Jonathan Guthrie <secretary@alamo-sf.org>

Treasurer: Bill Parker <treasurer2016@alamo-sf.org>

Communications: Kurt Baty <communications@alamo-sf.org>

IT: Steve Staton <it@alamo-sf.org>

Webmaster: Bill Parker & Clif Davis <webmaster@alamo-sf.org>

D.4 Loncon 3



14-18 August 2014
ExCeL, London
<http://www.loncon3.org>

All monies having been accounted for, and all corporate responsibilities discharged, this will be the final financial report by Loncon 3 to the WSFS Business Meeting.

Financial Statement - as of 30 June 2016¹

Income

	UK ²
Bid Passalong	£80,767.84
Voting Fee (converted to UK£)	£23,083.78
Membership Income	£627,998.67
Art Show (Hanging Fees & Commission)	£7,241.41
Art Show Case Sales	£4,490.00
Dealers' Zone (tables)	£22,278.93
Sponsorship	£26,864.57
Advertising in Publications	£20,116.36
Merchandise Sales Commission	£3,429.17
Photo Competition	£150.00
Hospitality Beer Glass Sales	£1,197.54
Infotel Commission	£4,733.95
Mobility Scooter & Child Care Cost Recovery	£5,975.33
Donations and Miscellaneous	£1,019.21
Net VAT Receipts ³	£55,760.81
Passalong Funds (Renovation)	£16,678.99
Passalong Funds (Chicon 7)	£18,794.14

¹ Bank accounts belonging to London 2014 closed on 7th August 2016, as company now shut down and VAT deregistration achieved.

² The accounts were kept in three currencies and reported in GBP using HMRC published exchange rates used for VAT purposes.

³ VAT is a sales-tax paid on most purchases made in the UK. It also must be charged by us on our membership and other income streams (except publications). If we have paid more in a quarter than we have charged, we can reclaim the balance. If we charge more than we pay in any quarter, we pay the HMRC the balance. Net figure (in&out) shown.

Passalong Funds (LoneStarCon 3) ⁴	£11,561.42
Passalong Funds (Aussiecon 4)	£3,362.20
Passalong Funds (Sasquan) ⁴	£3,282.99

Total Income **£938,787.31**

Expenditures

Chairs & WSFS

Chairs Discretionary	£2,529.67
Hugo Losers Party at LoneStarCon 3	£3,696.46
Staff & Committee Weekends	£7,003.57
Bid Party Expenses	£2,937.64
Hugo Award Trophies	£6,931.79
Hugo Award Shipping & Adin	£1,658.18

Finance & Corporate

Credit Card Charges	£26,822.45
Accountant Fees	£15,069.90
Balancing Transfer ⁵	£1,367.32
General Insurance	£7,830.50
Bank & Corporate Charges	£192.30
Finance Office Costs	£2,383.69
Solicitors Fees (In place MPC dues)	£8,400.00

Site/Facilities & Operations

Convention Facilities (ExCel, Hotels)	£349,396.35
Convention Security	£40,546.90
Shipping & Storage	£18,088.18
Operations & Move-in/Move-out	£46,899.28

Publications and Promotions

Progress Reports	£17,739.11
Retro Hugo Booklet	£1,713.69
At Con Publications	£34,578.04
Advertising	£18,728.81
Promotions	£9,471.16

Member and Staff Services

At-Con IT	£17,490.60
Web Hosting	£865.50
Mobility Scooter Rental	£2,940.00
Child Care	£7,013.40

⁴ In addition to Passalong, we are grateful for additional direct support by LSC3/Alamo of \$2000 towards the printing and distribution of the Souvenir books, post-Loncon 3, and of the post-con passalong/donation by Sasquan.

⁵ This entry relates to payments involving exchange of currency between UK and other currencies. Net figure (in&out) shown.

Membership Forms & Expenses	£1,126.39
Membership Refunds	£1,525.22
Volunteer T-Shirts	£2,836.52
Gopher Rewards	£11,200.00
Programme & Events	
Programme	£8,298.99
Green Room	£14,682.80
Guest of Honour Expenses	£18,211.38
Performances & Events	£35,967.59
Audio/Video Tech	£33,381.26
Hugo Receptions	£7,780.28
Exhibits & Fan Village	
Art Show	£16,847.35
Art Showcase	£1,694.48
Chesley Reception	£2,496.00
Exhibits Expenses	£22,130.78
Furnishings/Decorator	£70,877.67
Fan Village (tents, carpets, beer glasses ...)	£36,958.74
Total Expenditure	£938,309.94
Final Balance (to be passed along)	£477.37

Prepared by: Steve Cooper (Co-Chair)

Website: www.loncon3.org

Contact E-Mail: – Steve.Cooper@ukin2014.org

Mailing Address: 378 Myrtle Road, Sheffield, S2 3HQ, UK

Officers:

Chairman: Steve Cooper

Managing Director: Alice Lawson

Finance Director: John Dowd

LONCON 3 is the trading name of London 2014 Ltd, a company registered in England, company number 7989510.

Registered Office: First Floor, 176 Portland Road, Jesmond, Newcastle upon Tyne, NE2 1DJ.

D.5 Detcon1



Detcon 1 has not yet disposed of its surplus, and therefore this is not its final report.

Income and Expenses to Date (as of July 26, 2016)

Balance as of 9/1/15		\$12,726.26
Income		
5/20/16 Bank Adjustment		\$1.00
Expenditures		
10/7/2015 State annual reporting		\$20.00
10/30/2015 Federal taxes and fees		\$1,940.00
12/18/2015 Quicken annual Subscription		\$137.95
5/26/2016 Grant to MidAmericon II for ASL Interpretation		\$1,790.00
Current Balance and Remaining Surplus		\$8,839.31

Submitted by: Don Wenzel, Detcon1 Treasurer, and Tammy Coxen, Detcon1 Chair

Detcon1 was the 2016 NASFiC and was run by Driving the Future, Inc, a 501(c)(7) not-for-profit organization incorporated in Michigan.

Registered address:

508 Little Lake Dr.

Ann Arbor, MI

Contact: Tammy Coxen, President

chair@detcon1.org

Ph.: 734.276.3215

Officers:

Tammy Coxen, President

Don Wenzel, Treasurer

David Stein, Secretary

D.6 Sasquan

Sasquan has not yet disposed of its surplus, and therefore this is not its final report.



Sasquan Financial Report as of July 27, 2016

INCOME	ACTUALS
MEMBERSHIPS	
Voting Fees (from LSC3)	\$53,640.00
Membership and Conversions at LSC3	\$35,780.00
Membership and Conversions at LSC3	\$5,220.00
Memberships to Jan 2014 (\$ revenue all types)	\$46,650.00
Memberships (all types) through Loncon 2014	\$172,970.00
All membership types through January 2015	\$217,432.00
All membership types through 6-30-15	\$370,777.00
All memberships through 8-12-15	\$108,679.00
Walk-Ins At-Con	79,972.00
Total	\$1,091,120.00
CHAIR'S OFFICE / FINANCE	
Bank Interest	317.00
Currency gain/loss	(\$2.00)
Misc Donations	\$69.00
Total	\$384.00
DEALERS/ART SHOW	
Dealers Room (PAID tables)	\$33,500.00
Dealers Room – Power	2,319.78
Dealers Room (PAID booths)	\$17,610.00
Art Show - Pre-con (hanging fees) 4X4	\$6,537.00
Art Show - At-con (sales commission)	\$4,678.90
Art Show - Pre-con (table fees)	\$700.00
Art Show - Pre-con (shipping fees prepaid)	\$275.00
ASFA Contribution to Suite/Chesley Reception	1,500.00
Total	\$67,120.68
SERVICES/FINANCE	
Merchandise	\$91.68
Rental Fees from Mobie users	14,045.00
Total	\$91.68

FACILITIES	
Red Lion Comp Rooms at 1:50 (est avg rate 2 hotels)	\$5,128.52
Davenport, Grand Comp Rooms at 1:40	\$11,010.00
Doubletree Comp Rooms at 1:50	\$4,015.44
Doubletree Comp Suite Presidential Con Ste	\$3,969.00
Doubletree Comp Suite Ambassador Den	2,977.00
Amazon Ballroom Rental	\$1,040.00
Total	\$28,139.96
ADVERTISING & PUBLICATIONS	
Progress Reports	\$1,000.00
Souvenir Book	\$5,650.00
Total	\$6,650.00
GRANTS, LOANS AND OTHER	
Chicago Pass-along	\$30,700.00
San Antonio Pass-along	\$20,000.00
Writers' Workshop Income	\$1,350.00
Parent Child Care Payments (offset to Kiddiecorp)	\$1,958.00
Bag Check Revenue \$2/bag	\$1,000.00
Total	\$55,008.00
GARAGE SALE AND RESALES	
Garage Sale	\$500.00
Total	\$500.00
TOTAL INCOME	\$1,269,690.24

EXPENSES

ACTUALS

CHAIR'S OFFICE

GENERAL EXPENSES

Committee Meetings	\$5,776.02
Wikispaces Support	\$400.00
Mailing Lists Support	\$1,100.00

AT-CON

Chair's Fund	\$3,674.24
Con Catering at DH Meeting	\$5,726.31
Hugo Loser's Party Subsidy MAC2	\$2,000.00

POST-CON

Thank-You Party (2016 Worldcon)	\$5,000.00
Membership Reimbursements	\$124,735.46
Div Head Meeting Reimbursements	\$41,652.93
Bid Reimbursements	\$4,838.76
Area Head \$200 Expense Reimb.	\$16,600.00
Shoulder Night Hotel Room Reimb.	\$20,495.98

OTHER

David and Vonda's Super Sekret Ribbons	\$402.00
SpoCon sponsorship	\$7,811.93
GOH Welcome Food Event	\$1,383.31
Staff Food Coupons	\$3,318.62
Member Souvenir Bags, Staff Flashlights	\$10,967.83
Total	\$255,883.39

EVENTS DIVISION**OPENING CEREMONY**

Opening Ceremony	\$152.17
Native American group	\$890.00

MASQUERADE

Masquerade Green Room	\$2,412.91
Masquerade certificates & prizes	\$41.63
Photography	\$11.50
Hall Costumes	\$8.00

HUGO AWARDS CEREMONY

Streaming nomination announcement	\$499.00
Hugo Ceremony Admin	\$670.43
Misc. Ceremony Expenses	\$1,637.61

DANCES

Worldprom 300A Weds	\$2,353.46
Girl Genius Ball Marie Antoinette Thurs	\$4,275.34
Fusion Dance Marie Antoinette Fri	\$4,496.86
Regency Dance Hall of the Doges Sat	\$4,910.95
Time Traveller's Ball Marie Antoinette Sat	\$3,513.25

EVENTS CATERING & STAFF SUPPORT

Misc.	\$2,104.48
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LICENSING (ASCAP and BMI)

ASCAP	\$719.00
BMI	\$435.00
MPLC	\$800.00

FIRST NIGHT

First Night in the Park	\$550.00
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EVENTS, OTHER AREAS

Masq & Hugo Event Tickets	\$900.00
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Total **\$31,381.59**

TECH DIVISION**INTERNET**

Hugo Broadcast and Program Event Internet	\$1,715.70
Convention Office Internet	\$460.00

MAIN STAGE TECH (excludes Ushers)

Main Stage Lighting	\$2,574.06
Main Stage Audio	\$1,232.21
Main Stage Video Cameras and Control	\$29,068.90
Main Stage Video Projection	\$12,466.30
Main Stage Equipment	\$3,224.73
Main Stage Security	\$9,223.00

SECOND STAGE TECH

Second Stage Lighting	\$4,269.03
Second Stage Audio	\$4,275.50
Second Stage Video Cameras and Control	\$6,100.02
Second Stage Video Projection	\$3,195.50
Second Stage Staging	\$167.83
Second Stage Drape	\$816.00
Second Stage Power	\$800.00

CABARET (Guinan's) STAGE TECH

Cabaret Stage Lighting	\$1,772.25
Cabaret Stage Audio	\$1,649.00
Cabaret Stage Video Projection	\$2,890.00
Cabaret Stage Drape	\$136.00
Cabaret Stage Power	\$250.00

PROGRAM TECH (excludes Ushers)

Main Program	\$11,138.65
Audio Program	\$157.99
Dance Program	\$940.00
Concert Program	\$2,979.19
Flex Program	\$1,249.25

TECH OFFICE AND ADMIN

Vendor Lodging	\$2,705.60
Transportation	\$5,360.39
Tax	\$8,074.62
Tech Crash Room - moved to Facilities	\$1,760.00
Tech Office	\$198.07
Tech Misc.	1,108.07

Total	\$121,958.75
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EXHIBITS DIVISION**DECORATOR/SECURITY**

Decorator 8'X24" tables	\$2,766.06
Decorator 8'X30" tables	\$3,003.46
Decorator Pipe and Drape	\$8,764.82
Decorator Sofa/Loveseats	\$3,201.66
Decorator easels	\$1,524.60

Decorator poster boards	\$882.09
Decorator Display Cases	\$1,143.45
Decorator Chairs, Barrel Chairs	\$2,920.70
Decorator - other unspecified	\$374.12
Decorator - Standing Signs	\$1,214.24
Decorator - Carpeting	\$11,603.84
Decorator - forklift labor	\$3,295.11
Decorator - pallets	\$816.75
Daily carpet cleaning decorator provided carpet	\$980.10
Pedestal Tables and Linens	\$980.10
6' Tables	\$708.94
Pro & Fan Galleries	\$50.50
Hugo / WSFS Exhibits	\$5.44
GoH Exhibits	\$97.09
Special Displays	\$802.50
Worldcon Exhibit Colorado Springs History Shpg	\$2,613.47
Power	\$7,163.21
ART SHOW (Hall 2)	
Lights, panels, at-con supplies	\$490.81
Art show lighting - materials and build	\$3,023.56
Power and phone drops for art show	\$2,320.75
Miscellaneous	\$785.92
DEALERS (Hall 2)	
Power and phone drops for Dealers	\$1,196.85
Dealers Room Admin (pastries)	\$1,052.24
EXHIBITS - LOGISTICS	
Spokane Storage Rental & Insurance	\$1,140.00
Misc setup expenses	\$99.54
Trailer rental for Richard Stephens	\$850.00
FAN LOUNGE	
Fan Lounge - Other Costs	\$1,058.47
GAMING	
Misc.	\$176.00
Wi Fi for Gaming	\$600.00
Total	\$67,706.38

PROGRAM DIVISION

GUESTS OF HONOUR

David Gerrold travel	\$1,117.23
David Gerrold Shipping	\$1,207.19
David Gerrold per diem	\$750.00
Vonda McIntyre per diem	\$750.00
Brad Foster travel	\$620.40

Brad Foster per diem	\$750.00
Tom Smith travel	\$1,000.00
Tom Smith per diem	\$750.00
Leslie Turek travel	\$968.00
Leslie Turek per diem	\$750.00
GoH Gifts	\$720.78
Guest Liaison Misc.	\$100.00
OTHER GUESTS	
NASA Guest	\$1,265.94
PROGRAM OPS	
Program Ops	\$701.00
Conv Ctr Catering Coffee/Tea Setup Crew	\$157.84
KAFFEEKLATCHES	
Kaffeeclatches & Literary Beers	\$745.00
CHILDREN AND YA ACTIVITIES	
Children Activities	\$1,597.94
YA Activities (& Teen Lounge)	\$200.00
Golden Ducks	\$250.00
Teen Lounge Reception Thurs Doubletree	\$303.93
PROGRAM IT	
Database - Grenadine & Web access/storage	\$1,800.00
OTHER PROGRAM CONTENT	
Library Outreach Promotions	\$1,000.00
Costume Workshops	\$259.80
Art Workshops	\$103.15
Match Game banners (up to 4)	\$200.00
DISCWORLD	
Discworld	\$300.00
Chesley Reception	\$3,998.51
WRITERS WORKSHOPS	
Writers' Workshops	\$900.00
MAKER ACTIVITIES	
Resin for 3-D Printers	\$75.35
Total	\$23,342.06
<hr/>	
FINANCE DIVISION	
Credit card fees	\$3,178.00
PayPal fees	\$14,373.00
Insurance	\$880.00
Other Bank fees	\$128.00
Office Expenses/PO Box rental	\$415.03
At-Con Treasury/Cashier Expenses	\$385.84
US Bulk Mail Imprint Fees	\$20.00

Pre-Con Treasury and Reg Office Supplies	\$330.65
Tablets for at-con square use	\$2,139.90
At-Con Reg	
Registration - Badges & materials (including forms) and \$500 travel	\$9,345.40
Shipping LSC3 Treasury supplies to Spokane	\$321.37
Total	\$45,824.51

WSFS DIVISION

Business Meeting - coffee service	\$5,682.09
Business Meeting Ribbons, Batteries	\$26.74
MPC dues and grant	\$11,500.00
Site Selection 2017 Office Supplies	\$55.35
Vote counting food (Davenport Grand)	\$1,731.52
Hugo rockets	\$3,607.97
Mini Hugo Rocket, Mailing	\$297.03
Hugo rocket base construction	\$4,284.31
Hugo award plaque engraving	\$835.13
Shipping trophies and certificates	\$796.80
Hugo Packet Hosting	\$160.08
Nominee pins	\$866.11
Total	\$29,843.13

PROMOTIONS & PUBLICITY DIVISION

Fan Advertising	\$1,005.00
Convention Promo Parties	\$200.00
Spokane Astronomical Society, Stonerose Center	\$2,000.00
US Regionals & Other	\$1,052.98

PROMOTIONAL MATERIALS

Flyers	\$194.02
Bookmarks, posters, post cards, etc.	\$262.63
Table Kits	\$1,281.56

PRESS RELATIONS

Press Relations	\$60.27
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WEB SITE (Was IT Support)

Internet Services (Hosting etc.)	\$576.81
At-Con Consumables	\$10.76

Total **\$6,644.03**

PUBLICATIONS DIVISION

PR1

PR1 - Production and mailing	\$6,287.81
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PR2

PR2 - Production and mailing	\$4,762.52
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PR3 (Nom Ballot)	
PR3 - Production and mailing	\$6,058.05
PR4 (Final Ballot)	
PR4 - Production and mailing	\$8,575.58
Special PR 4 ballot (Hugo, Site) Mailing	\$3,920.00
AT-CON PUBLICATIONS	
Souvenir Book	\$33,051.90
Membership CD	\$2,933.00
Hugo Awards Booklet	\$4,345.10
Pocket Program/Convention Guide	\$16,109.54
Restaurant guide	\$7,219.68
Internet for Newsletter at Doubletree	\$600.00
PRINT SERVICES	
Shipping (printer, other items) from Boston	\$4,483.98
Paper	\$738.48
Other Consumables (Ink, toner, printer rent)	\$1,200.00
POST-CON MAILING COSTS	
Post-Con Souvenir Book shipping	\$10,960.43
Total	\$111,336.07

FACILITIES CONVENTION CENTER SUB-DIVISION

CONVENTION CENTER – HIRE

Basic Hire \$90,335.00

CONVENTION CENTER – OTHER

Convention Center Ancillaries (general) \$88.00

Convention Center Usher Labor \$45,229.50

Convention Center Provided Chairs, Staging, Tables \$23,187.88

Convention Center /INB Water Service \$4,454.53

Pre-convention Facilities meeting 2/15 \$877.71

Total **\$164,172.62**

FACILITIES HOTELS SUB-DIVISION

FACILITIES - Hotels

Function Space Rental - Doubletree \$11,291.00

Ancillary & Ad-Hoc Costs \$196.06

Function Space Rental - Grand (Amazon) \$883.52

Party Maven \$1,015.16

Suites Attrition Davenport \$3,027.00

Wireless at Davenport High Speed Whole Hotel \$1,000.00

Anime Gaming Wi-Fi at the Doubletree \$625.00

Doubletree Carpet Cleaning Con Suite \$500.00

FACILITIES - USE OF COMP ROOMS

Taff Winner room \$864.92

David Gerrold hotel 7 nights Grand	\$1,184.76
Vonda McIntyre hotel 6 nights Doubletree	\$892.32
Brad Foster hotel Grand (per Mike) 6 nights	\$864.92
Tom Smith hotel 6 nights Doubletree	\$892.32
Leslie Turek hotel Grand (per Mike) 7 nights	\$1,083.84
Fan Lounge - Suite Hire Nighttime	\$1,746.54
Consuite - Overflow Seating Rooms Hire	\$4,164.16
Consuite - Doubletree Presidential Suite	\$3,969.00
Staff Den - Overflow Rooms	\$3,123.12
Staff Den - Doubletree Ambassador Suite	\$2,977.00
Art Show Setup Comped Room (Walt)	\$148.29
GOH Lounge Suite at Doubletree	\$1,887.76
Kiddiecorp Suite (Doubletree)	\$5,010.88
Tech crash room (24 nights)	\$1,627.44
MC Room Subsidy	\$956.82
Old Pharts Party Suite	\$703.98
NASA/Knott Hotel Room	\$319.84
Gene Armstrong comp room	\$705.00
OTHER	
Tips & gratuities - facilities & hotel staff	\$960.00
Hotel Liaison Expenses	\$366.37
Total	\$52,987.02

OPERATIONS DIVISION

Security	\$36.14
IT Infrastructure	\$369.20
Hardware (purchased)	\$604.67
Rentals for At-Con IT	\$12,168.78
Communications (phones)	\$1,214.24
Communications (Radios)	\$1,720.60

LOGISTICS/MIMO

MIMO/General use Carts	\$977.29
MIMO Packing Material	\$299.91

CENTRAL LOGISTICS

Seattle Trucks (all departments)	\$4,413.98
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Total **\$32,804.81**

HOSPITALITY DIVISION

PRE-CON

Loncon - Hugo Losers	\$8,788.00
Loncon - Hugo Nominee Gifts	\$1,545.01
Loncon - Closing Ceremony	\$200.00
Loncon - Hugo Losers Invitations	\$184.00

CONSUIITE

Consuite Restaurant Orders	\$11,000.00
Consuite - Elec Equip	\$927.90
Consuite - Kitchen/Serving Equip	\$2,000.00
Consuite - Fridge Truck Rental	\$3,527.88
Consuite - Plastic Sheeting	\$589.95
Consuite - Beverages (incl Coffee)	\$4,000.00
Consuite - Food (Costco, CashnCarry)	\$9,348.66
Consuite - Sysco Foods	\$3,500.00
Ice provided by Doubletree	\$1,435.00

STAFF SERVICES

Staff Den - Food	\$8,916.66
Staff Den - Supplies	\$1,742.31
Staff Den - Equipment	\$19.53

GREEN ROOM

Catering	\$8,435.82
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PRE-HUGO RECEPTION

Pre-Hugo reception	\$7,731.91
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Total	\$73,892.63
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SERVICES DIVISION**OFFICE**

Office Supplies	\$3,456.12
Office Equipment	\$156.39

RIBBONS

Ribbons and Rosettes	\$4,154.40
Additional Ribbons, special order	\$324.65

CHILDCARE

Kiddiecorp Payment	\$10,170.00
Misc.	\$195.83

ACCESS

Mobie Hire - passthrough	\$14,750.00
ASL and CART Services at Bus Mtg	\$5,782.23

INFORMATION

Info Desk	\$6.50
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VOLUNTEERS

Misc.	\$45.00
Staff and Volunteer T-Shirts	\$6,028.00

SIGN SHOP

Signs	\$5,799.79
Kjell Standups (4 or so)	\$347.00

TRANSPORTATION SERVICES

Shuttle Service (including Mobie transport)	\$31,285.99
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BAG CHECK ROOM

Supplies, Misc.		\$41.87
	Total	\$82,543.77

TOTAL EXPENSES		\$1,089,320.76
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SUMMARY

Total Income		\$1,269,690.24
Total Expense		(\$1,089,320.76)
Net Surplus		\$180,369.48
50% Pass-Along		\$90,184.74

PASS-ALONG DISTRIBUTIONS

Suite for Finland - Historic Davenport		\$394.00
Hugo Award second pressing		\$3,608.33
7 Hugo Rockets shipped by Leane 11-15 to Jeff Orth		\$601.39
MAC2 Pass-Along (net of above)		\$20,790.28
Worldcon 76 Pass-Along (to MAC2 in escrow)		\$25,000.00
Loncon Retroactive Pass-Along		\$5,000.00
MAC2 Additional Pass-Along		\$3,395.00
Worldcon 75 Additional Pass-Along		\$3,395.00
Worldcon 76 Additional Pass-Along		\$3,395.00
Worldcon 75 (Finland) Pass-Along Funds		\$24,606.00
	Total	\$90,185.00

Post-Convention Distributions/Grants

Lucy Huntzinger, DUFF Donation		\$2,000.00
ASFA Donation		\$2,500.00
Bill Burns, Efanazines Donation		\$1,000.00
MACII, grant for NASA related costs		\$5,000.00
2 SMOFCon Scholarships		\$4,000.00
Worldcon History Organization		\$5,000.00
Con or Bust Donation		\$2,000.00
Int'l Costumers Guild Donation		\$5,000.00
TAFF		\$2,000.00
Space Agency Fan Fund		\$15,000.00
FANAC.org		\$1,000.00
Westercon 69		\$5,000.00
Westercon 70		\$5,000.00
	Total	\$54,500.00

REMAINING FUNDS		\$35,684.48
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Prepared by: Bruce Farr, Sasquan Treasurer <<mailto:mbfarr2010@gmail.com>>
Approved by: Sally Woehrle, Sasquan Chair <sallywho42@msn.com>

Convention: Sasquan

Parent Organization: SWOC (formerly Seattle Westercon Organizing Committee)

Current Tax Status: a 501(c)(3) organization incorporated in Washington State

Address: PO Box 88154, Seattle, WA 98138

Contact Email: info@swoc.org

Convention Website: www.sasquan.org

Officers and Members:

President: Ari Goldstein

Vice President: Sally Woehrle

Treasurer: Katharine Bond

Pat Porter

Alexander von Thorn

Marah Searle-Kovacich

Jerry Gieseke

Adam Bird

Alejandro

D.7 MidAmeriCon II



MidAmeriCon II Financial Statement August 1, 2014 to July 25, 2016

INCOME

Memberships	\$676,896.98	
Voting Fees from Loncon	\$24,963.12	
Transfer from Bid	\$5,709.47	
Chairs Office / Finance	\$11,119.73	
Dealers and Art Show	\$55,135.00	
Advertising and Publications	\$14,131.26	
Pass-A-Long Funds	\$77,400.00	
Grants and Misc Income	\$16,790.00	
Total Income:		\$882,145.56

EXPENDITURES

Chairman's Division		(\$16,178.23)
General Expenses	(\$5,539.31)	
Pre-Con Expenses	(\$2,485.33)	
IT – Support	(\$2,233.23)	
Guests of Honor	(\$4,665.36)	
Other Guests	(\$755.00)	
GOH Book	(\$500.00)	
Finance Division		(\$34,810.81)
Sales Tax	(\$25.00)	
Fees	(\$22,543.51)	
Insurance	(\$3,108.79)	
Licensing	(\$720.00)	
Pre-Con Expenses	(\$439.65)	
At-Con Expenses	(\$7,973.86)	
Facilities Division		(\$99,925.02)
Kansas City Convention Center	(\$76,640.00)	
Convention Center - Other	(\$2,293.60)	
Convention Center - Catering	(\$11,490.00)	

WSFS	(\$1,097.00)	
Hugo Administration	(\$8,404.42)	
Exhibits Division		(\$10,389.82)
Art Show	(\$3,937.41)	
Dealers	(\$2,475.00)	
Exhibits – Logistics	(\$84.88)	
Fan Fair	(\$3,778.53)	
Gaming	(\$114.00)	
Programming Division		(\$3,000.00)
Kids Programming	(\$3,000.00)	
Services Division		(\$3,230.00)
Member Services - Ribbons Etc.	(\$2,730.00)	
Incident Response Team	(\$500.00)	
Publications		(\$21,704.74)
Progress Report 0	(\$1,403.60)	
Progress Report 1	(\$5,160.23)	
Progress Report 2	(\$8,425.82)	
Progress Report 3	(\$6,715.09)	
Progress Report 4		
Marketing		(\$17,067.33)
Advertising (Outbound)	(\$6,861.07)	
Convention & Open Events	(\$3,454.92)	
Promotional Materials	(\$5,729.69)	
Local Promotions	(\$1,021.65)	
	Total Expense:	(\$206,305.95)
Overall Total		\$675,839.61

Submitted by: Joyce Lloyd, Convention Finance Division Head
Verified by: Ruth Lichtwardt, Convention Chair

Convention: MidAmeriCon II

Parent Organization: MidAmerican Science Fiction and Tantasy Conventions, Inc. (MASFFC)

Current Tax Status: a 501(c)(3) organization incorporated in Missouri

Address: P.O. Box 16, Santa Rosa, CA 95402

Convention Website: www.midamericon2.org

Officers and Members:

President and Chairman of the Board: Margene S. Bahm – arya.stark4@gmail.com

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Treasurer: Ruth Lichtwardt – rlichtwardt@icloud.com

Secretary: Carol Doms – carol.doms@gmail.com

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Paula Helm Murray – kaylisdragon2@gmail.com

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D.8 Worldcon 75



WORLDCON 75

Worldcon 75 Financial Statement August 22, 2015 to July 26, 2016

Income

Voting Fees from Sasquan	98,393.00 €
Memberships (roughly 2400 attending and 1800 supporting)	198,605.00 €
Pass-along from Sasquan and Millennium Philcon	42,174.86 €
Paper publications	2,000.00 €
Ads in Progress Report 1	180.00 €

Total income **341,352.86 €**

Expenses

Chair division	Travel reimbursements	4,055.10 €
	Staff meetings	6,282.62 €
Facilities division	Messukeskus convention center	37,866.50 €
Design resources division	Progress reports	1,314.40 €
	Postage	487.10 €
DevOps	Web and other systems	666.73 €
Finance	Bank and other payment fees	6,123.50 €
Ops/Turva		118.65 €
Outreach	Ads	1,876.24 €
	Promo items	1,393.93 €
	Tables and parties at cons	1,242.60 €
All		1,082.04 €

Total Expense **62,509.41 €**

Overall total **278,843.45 €**

Prepared by: Pasi Vihinen, Finance DDH <pasi.vihinen@worldcon.fi>

Approved by: Crystal Huff <crystal@worldcon.fi> and Jukka Halme <jukka@worldcon.fi>, Convention chairs

Convention: Worldcon 75

Parent Organization: Maa ja ilma ry

Current Tax Status: Non-profit and pre-certified as VAT-free for Worldcon 75
Address: Worldcon 75, c/o Maa ja ilma ry, PO Box 665, FI-00101 Helsinki, FINLAND
Contact Email: hallitus@worldcon.fi
Convention Website: <http://www.worldcon.fi/>

Officers:

Eemeli Aro, Chairman
Pasi Vihinen, Vice Chairman
Sanna Kellokoski, Treasurer
Saija Aro, Secretary
Vesa Sisättö

APPENDIX 2
REPORT OF THE SERIES HUGO COMMITTEE
August 2015 –July 2016

Members: Warren Buff (chair), Jared Dashoff, Todd Dashoff, Eric Flint, Chris Gerrib, Tim Illingworth, Joshua Kronengold, Bill Lawhorn, Michael Lee, Simon Litten, Farah Mendlesohn, Mark Olson, Steve Saffel, Pablo Vazquez, Peter de Weerd, Clark Wierda

The Series Hugo Committee has studied the issue of whether and how to add a Hugo Award recognizing storytelling in the form of a series of stories, and has come to a consensus on a recommendation for a new category. This report will close with a motion to add that category and adjust other rules to account for such a change.

Our Approach

We began with last year's proposal, which was tuned to reflect the market realities of a trilogy written on a new writer contract (three volumes of around 80K words each) while being broadly worded to allow series of less typical forms to compete (say, a series composed primarily of shorter fiction). While we don't expect a modern award to often feature the same mix of story types as the 1966 one-off category, we preserved the possibility. In order to account for the likely scenario that Hugo-worthy series would compete with each other (with an all-time great losing out to another), then continue publishing, the proposal included a clause to allow a series to become eligible again after a prior nomination by producing the initial word-count of 240K again, across at least two further installments. Under no circumstances, however, was a previous winner to receive new eligibility. Due to a full schedule, the proposal did not receive debate last year, and was instead referred to committee.

We considered four initial questions:

1. What is a series? Is it stories published/promoted as series? Stories tied together by characters or setting? Can it be written by multiple authors?
2. What about subseries? This is, for lack of a better turn of phrase, the "Discworld Problem".
3. Do we want a Hugo? Maybe we want a Campbell system like the YA award? Maybe we want a juried prize?
4. When can a series win a Hugo?

We dispensed with #3 quickly, settling on an attempt to write a Hugo category. We don't believe WSFS would be interested in sponsoring a juried award, and the issues with defining a boundary for YA that make a Campbell-like solution so appealing aren't problematic for series. We can easily define an objective boundary for when eligibility begins on a series, and have provided what we believe is a viable definition for such a category here. We had some discussion on the subject of attempting a person-type award (Best Series Writer) or an award to recognize

individual installments in a series (Best Installment), though neither of these gained sufficient traction to work into a full proposal.

We also rather quickly settled the question of multiple wins (implied in #4) – no series should be eligible after it has won. This does, however, interact with the subseries issue, and has the same general solution: let the voters decide (and the same general approach, with some word-count and volume-count requirements, informs the answer to the first question). A series could win, and then another series, set in the same world, might be nominated, but this would be dependent on the voters feeling they were sufficiently distinct to nominate the new material without reference to the old. We also recognized that attempting to verify completeness with regard to series is both a fool’s errand and would likely require flying in the face of the way series are written and enjoyed. A supposedly completed series might be expanded later, and some series aren’t really intended to conclude, possibly not even with the original creator’s death. Instead, the category is meant to evaluate series as they exist in the year of consideration.

The inconsistency between the reference to “its last appearance on the final ballot” in 3.3.X and the reference to “received sufficient nominations to appear on the final ballot” in 3.2.2 is deliberate. We wanted to write the category such that an author could decline a nomination of a series and later accept one after the series has been expanded without having to worry about word and volume counts. For instance, if a series is nominated after book 4, when the author knows that book 5 will end the series and be more representative, the author could safely decline nomination without worrying about a potential disqualification under 3.2.2. This is already accounted for to a degree under 3.2.5, but we mean for it to unambiguously allow an expanded series to be eligible when earlier versions did not appear on the ballot (whereas the interaction of 3.2.2, 3.2.5, and 3.9.1 might be subject to some interpretation based on timing), and for a substantially expanded series (with multiple new volumes and a high enough new word count to qualify as a series in the first place) to be eligible regardless of a previous appearance on the final ballot.

Points of Divergence

The most contentious part of the proposal, within the committee’s discussions, has been the word-count requirement. While the current length proposed reflects a typical trilogy of adult novels (and covers nearly all recent YA series), it does fail when it comes to the eligibility of Middle Grades work, which would sometimes fail to reach the 240K mark even with four books. Potentially, the Business Meeting might choose to reduce the word-count, or explicitly tie it to the length requirement of the Best Novel category. The latter proposal was the most strongly supported minority position within the committee, but we felt it might serve to prejudice voters even more strongly towards series composed exclusively of novels, when there are noteworthy series composed of shorter works being published today. In this proposal, “volume” is taken to mean any story published separately from the others in a series. Hence, a trilogy of novels, an extremely long novel and two shorter pieces, a pair of long novels and a

novella, or a larger number of shorter pieces might make up the requisite three volumes (and total word count) - or even a set of stories greatly exceeding the length and number requirements (a condition which we can foresee as being quite frequent in the earlier years of such an award).

That length also serves us well in the quest to balance the fresh eligibility requirements. Doing away with a length and volumes requirement entirely, or setting them too low, would run the risk of the category being dominated by repeat contenders from year to year. Setting it too high, particularly by using a multiple of the existing length of the series as the requirement, would result in long-running series only getting a single shot at the new category. Fundamentally, we are addressing the question of when an expanded work is a new work. Previously, the general rule of thumb was to consider a work new when its length was expanded such that it fit into a new category. But this is new territory, and it likely needs new standards.

In the interest of striking a balance, we chose to stick with the original proposal's requirements for fresh eligibility. Some series will have the ability to be nominated frequently, but only those on the most frenetic publishing schedules. Ultimately, this conflict arises because series are, by their nature, not precisely a good fit with the "work" style categories (all of which are discrete and completed when they are nominated and are meant to be judged in their entirety) and the "entity" style categories (all of which are meant to be judged on their annual output alone, even if this fails in practice). We mean for a series to be judged in its entirety as of the year of eligibility, even though it is potentially a continuing, incomplete work. Thus, we have proposed a set of rules that strike the balance by being somewhat more complex than either the work or entity categories.

The largest change we have made to the proposal before the meeting last year was to simplify the procedures for dealing with the subseries issue. The language proposed for this section (3.8.X) mirrored the language proposed last year as "Multiple Nominations". As the meeting, at the request of experienced Hugo Administrators, chose to amend that proposal, the language for section 3.8.X has been changed to match it. The question of whether and when subseries are eligible is addressed to a large degree in the category definition itself: we require that a series be "published as a single series with a unifying plot, characters, or setting". In general, this requirement should discourage the invention of ever more numerous subseries by casual literary critics. While it is possible that an author might publish multiple series set within a single world (and is, in fact, somewhat common), these will typically bear a degree of distinction from each other by their choice of focal events and characters; if the events or characters of one story are integral to the action or meaning of another, it is likely that they are the same series. The precise distinction of this point must be left to the judgment of the nominators, but it should be clear that a single volume may not be nominated as part of more than one series in a given year, nor win as part of more than one series ever. To that end, when fans disagree on whether a set or subset of works

composes a series, only the more-supported position should be recognized on the final ballot.

We have also refined the initial definition of a series. Where last year's proposal called for "a work of science fiction or fantasy, published as a single series with a unifying plot, characters, or setting", this year's proposal has been revised to call for "a multi-volume science fiction or fantasy story, unified by elements such as plot, characters, setting, and presentation". The change is meant to downplay the role of the publisher in determining what makes a series, while still calling attention to the publisher's efforts as the series's "presentation". The unity of title, cover design, marketing materials, and so forth that publishers assign to series is helpful in finding series, but not a key requirement for a set of potentially related volumes to be one. The order of the unifying elements is deliberate, as they are in the order of likelihood to indicate a series. A continuous plot between volumes nearly guarantees it, without being a strict requirement, and will almost certainly entail shared characters or setting. In the absence of such a plot, however, shared characters can be a strong indicator of a series, as might be the case with superhero stories. Setting is a bit weaker, still, as an indicator, but might be particularly indicative of shared world series. We have chosen "and" as our conjunction deliberately, as series will nearly always have at least two of these unifying elements.

The Case for a Best Series Hugo

The committee feels that the contemporary publishing field favors series fiction, and that the lack of a category to recognize series represents a serious disconnect between the Hugo Awards and the market. Looking at Best Novel finalists over the past decade or so, series novels represent a majority of the nominees, yet only a handful of the winners, with later installments particularly disadvantaged. Clearly, the Hugo nominators feel that high-quality work is being done in series novels, yet the Hugo voters have a taste for stand-alone novels, or at least novels that can be approached without any background. This represents a change from earlier eras, when the publishing field was smaller and readers tended to be able to consume a higher proportion of it. In decades past, series novels managed to hold their own against stand-alones, even dominating the Best Novel category at times. In spite of this, fans still felt a need to hold a one-off Hugo for Best All-Time Series in 1966. Bringing back a Best Series category as a regular award would help us adjust to changes in both the market and our critical tastes.

One of the most common tests applied to potential new categories is to examine whether at least 10 Hugo-worthy examples per year exist. Looking to the Locus long-lists (to get a deeper feel for the field), around 55% of the novels in the SF and Fantasy categories come from series, with around 30% representing a novel late enough in the series to qualify for nomination under our proposal, or an average of about 13 per year. Furthermore, some of the most popular series did not place a novel on the Locus lists (reasons might include a lack of overlap between series readers and award voters, or a gap between perception of the book *as a novel* and the series as a whole). Considering

the likely additions of the popular series and the occasional series in a format other than novel-length installments, it is safe to say that 10-15 series per year could qualify for the proposed category at a sufficient level of quality to represent the Hugo Awards well, with at least a third of those series being beyond their third book. The category would likely still see its share of well-received trilogies without becoming a “Best Trilogy” category by default. With this in mind, we feel that the publishing field provides sufficient high-quality material to justify the category, while its marketing apparatus promotes quality series in such a way that fans are likely to be able to reach some degree of consensus when nominating in the category.

Conclusion and Proposal

In our discussions, we have approached the topic from the perspectives of writers, editors, academics, Hugo Administrators, and fans who read series with varying degrees of enjoyment. This proposal does not represent everyone’s ideal take on how to recognize series, but instead the most viable compromise position we could reach, and we recommend its passage.

Minority Report by Chris Gerrib

One of the committee members, Eric Flint, has quite properly described the 40,000 word definition of “Best Novel” as “an archaeological relic from a completely different era in publishing.” The minority is concerned that, in years to come, our definition of “Best Series” consisting of 240,000 words will be similarly viewed as a relic. The minority note that, with the increase in independent and electronic publishing, acceptable word count for a novel is again in flux.

We would suggest that the best course of action would be to rely on the existing Hugo definition of “novel” (40,000 words). If and when the WSFS decides that definition needs to be updated, an established process for such an update exists.

Moved, that the proposal contained in the report should be modified as follows (changes in bold):

3.3.X Best Series. A work of science fiction or fantasy, published as a single series with a unifying ~~A multi-volume science fiction or fantasy story, unified by elements such as plot, characters, or setting, and presentation,~~ appearing in at least three (3) ~~volumes consisting of a total of at least 240,000 words~~ novels as defined in 3.3.1 by the close of the previous calendar year, at least one of which was published in the previous calendar year. If such a work has previously been a finalist, it shall be eligible only upon the publication, since it qualified for its last appearance on the final ballot and by the end of the previous calendar year, of at least two (2) additional novels as defined in 3.3.1 ~~volumes consisting of a total of at least 240,000 words~~, and further provided it has not won under 3.3.X before.

Minority Report by Joshua Kronengold

While the committee has identified a substantial problem in the award—that it is hostile to series, which have become a backbone of the field (a restriction that is roundly ignored by the membership, but only inconsistently), a minority believes that creating a specific “series award” is unnecessary, and only corrects the imbalance for the specific case of very-long series of very long novels, which is common now, rather than generally. As such, we propose the following amendment which attempts to deal with the issues (that series are expressly verboten from being nominated except as individual works or completed epics; that while works can become re-eligible as part of larger works, this only happens when changing categories, and finally that the “Best Novel” category is far too broad to cover both very long works like series and novel, to the detriment of both) on their own, rather than wrap them up in a specific exception.

Moved, that the proposal contained in the report should be modified by substitution, replacing it with the following:

Moved, to amend the WSFS Constitution to change the written fiction Hugo Award categories by creating a Best Series award and correcting related references to the existing Hugo Award categories by adding or ~~deleting~~ words as follows:

1. Modify existing Section 3.2.2 as follows:

3.2.2: A work shall not be eligible if in a prior year it (or a substantial subset of same) received sufficient nominations to appear on the final award ballot, unless it is included with at least enough material that has not yet appeared on a Hugo final award ballot such that the new material is enough to make the minimum length for the nominated category, or half the minimum length if it has not yet been nominated under its current category.

2. Modify existing Section 3.2.4 as follows:

3.2.4 Works appearing in a series are eligible as individual works, ~~but the series as a whole is not eligible~~ or as part of a larger work considered as ending with the volume(s) published in the year of eligibility.

3. Modify existing Section 3.2.5 as follows:

3.2.5 In the written fiction story categories (3.3.1-3.3.4~~X~~ and 3.3.6), an author may withdraw a version of a work from consideration if the author feels that the version is not representative of what that author wrote.

4. Insert the following section after existing Section 3.3.4:

3.3.X Best Tome A work of science fiction or fantasy, consisting of a total of at least 240,000 words.

5. Insert the following before existing Section 3.8.3:

3.8.X For nominations of works under Section 3.3.X, if a work is eligible in multiple forms (by itself, and/or as part of one or more larger works) and if multiple relevant works receive sufficient nominations to appear on the final ballot, only the version which received more nominations shall appear.

Minority Report by Mark Olson

I do not believe that adding a Series Hugo is desirable, but if the WSFS BM decides otherwise, I believe that the committee's motion is a workable, well-thought-through implementation and is definitely preferable to any of the alternatives I have heard proposed. Accordingly, I will vote against amendments to the committee's proposal, but I will also vote against it being adopted.